

From: email@charities.govt.nz
Sent: Wednesday, 11 January 2017 3:56:40 p.m.
To: neil.wells@xtra.co.nz
Subject: Annual Return Acknowledgement



DIA - CHARITIES SERVICES
120 Victoria Street, Wellington 6011
P.O. Box 30-112, Lower Hutt 5040
New Zealand
Fax +64 4 382 3595
Freephone 0508 242 748
Website www.dia.govt.nz www.charities.govt.nz

Dear NEIL WELLS

Thank you for the Annual Return submitted for The Animal Welfare Institute Of New Zealand for the financial year ended 30/06/2016. The financial information submitted with your Annual Return is now available on the Charities Register at www.charities.govt.nz.

As the gross income for The Animal Welfare Institute Of New Zealand is less than \$10,000 no fee is payable for the processing of this return.

On 1 April 2015, new reporting standards came into effect and registered charities will need to prepare financial statements in line with these new standards. These standards apply for the first full financial year that starts on or after 1 April 2015. Information on the new standards as well as guidance material is available on our website at www.charities.govt.nz/im-a-registered-charity/new-reporting-standards/ or contact us if you would like us to send out the information.

Yours sincerely,

Charities Services
Department of Internal Affairs
Te Tari Taiwhenua

Sec 9(2)(a)

From: Compliance
Sent: Tuesday, 22 August 2017 8:19 AM
To: Sec 9(2)(a)
Subject: New Reporting Standards Compliance

Dear s9(2)

Unfortunately your financial statements do not adhere to our new financial reporting standards. Your charity now needs to submit a Performance Report instead of any other type of financial information previously supplied (e.g. financial statements, annual accounts or bank statements) with the yearly Annual Return to Charities Services.

The Performance Report includes financial and non-financial information about your charity. You'll need to collect and prepare the information for this report and compile it so that it meets the requirements of the new reporting standards.

We require that you refurbish your financial statements in alignment with our new reporting standards. If you have any questions regarding this, you can contact me on my details listed below.

Performance Report Format

1. Entity Information
 - Who are we? Why do we exist?
2. Statement of Service Performance
 - What did we do? When did we do it?
3. Statements of Receipts and Payments
 - What did it cost? How was it funded?
4. Statement of Resources and Commitments
 - What do we own? What do we owe?
5. Notes to the Performance Report
 - What other information supports our Performance Report?

A template has been created to assist charities with the Performance Report. It's an optional template, but we strongly recommend you use this template to create your report, at least the first time you report under the new standards. It will help you understand what is being asked of your charity.

If you require further information please visit our website: <https://www.charities.govt.nz/new-reporting-standards/>

Regards,

s9(2)(a) | Assistant Investigator

Charities Services Ngā Rātonga Kaupapa Atawhai

s9(2)(a) | Help Desk: 0508 242 748

120 Victoria Street, Wellington | PO Box 30112, Lower Hutt 5040, New Zealand

www.charities.govt.nz | www.dia.govt.nz | [Follow us on Facebook](#) 



Want to know more about the new reporting standards? See the new [Tier 3](#) and [Tier 4](#) guides on our [website](#).

From: email@charities.govt.nz
Sent: Tuesday, 21 November 2017 7:39:43 p.m.
To: neil.wells@xtra.co.nz
Subject: Annual Return Reminder



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120 Victoria Street, Wellington 6011
P.O. Box 30-112, Lower Hutt 5040
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Freephone 0508 242 748
Website www.dia.govt.nz www.charities.govt.nz

21 November 2017

Attention: NEIL WELLS

RE: Annual Return Reminder - Important News

Dear NEIL

On the 30 June 2017, the financial year for the The Animal Welfare Institute Of New Zealand ends; this means that the The Animal Welfare Institute Of New Zealand s Annual Return is due no later than 31 December 2017. Of course, if you have received your receipt for completion of this process please disregard this letter.

As of 1 July 2014 an Annual Return will no longer be complete until payment is received. This means that when your charity files an Annual Return (whether online or paper-based) a payment must be made at the time of submission.

Please note that no invoice will be generated and that a tax receipt will be issued on receipt of payment. A copy of your financial statements must also accompany the Annual Return; they can be submitted for a fee of \$51.11 (online) or \$76.67 (paper). A fee only applies to charities with a total gross income of over \$10,000.

In addition, the new Annual Return process will feature a mechanism for charities paying online.

Logging into your account

To access your Annual Return online, log in to your account at www.charities.govt.nz/log-in

If you have forgotten your password, please follow the steps below to have your password sent to the contact person you provided:

1. Click on the link www.charities.govt.nz/log-in
2. Click the 'Forgotten your Password' link
3. Enter your Username - [REDACTED]

On 1 April 2015, new reporting standards came into effect and registered charities will need to prepare financial statements in line with these new standards. These standards apply for the first full financial year that starts on or after 1 April 2015. Information on the new standards as well as guidance material is available on our website or contact us if you would like us to send out information.

Filing your Annual Return

Please select create Annual Return and populate the fields as directed. As part of this process, there is an opportunity to directly upload financial statements and complete the filing of your Annual Return.

Paying for your Annual Return online Charities Services new payee name

When online banking, it is important to note that Charities Services has a new payee name DIA Charities Services . If you have any existing payee instruction you will need to delete these details prior to setting up the new payee name.

[Click here to view online payment instructions.](#)

Not ready to file and pay for your Annual Return online

Moving to greater use of the internet will be a change for some charities, so there are some other options available if you are not yet ready to file and pay for your Annual Return online:

File your Annual Return online and pay by cheque. The return will be processed on receipt of payment and matched to your return.

File a paper-based Annual Return and pay by cheque. The return will be processed on receipt of payment and can be matched to your return.

File a paper-based Annual Return and pay online.

A copy of the Annual Return Form is available from our website www.charities.govt.nz/resources/ or alternatively you may request a paper form to be posted to you by phoning us on 0508 242 748 or emailing xxxx@xxxxxxxxx.xxxx.xx.

We will be introducing a new online annual return form which will pre-populate some of your charity's information. We encourage you to use this form for your next annual return.

If you have any questions please email us at xxxx@xxxxxxxxx.xxxx.xx or call us on FREE PHONE 0508 242 748

Yours sincerely

Charities Services
Department of Internal Affairs
Te Tari Taiwhenua

From: email@charities.govt.nz
Sent: Tuesday, 23 January 2018 7:38:46 p.m.
To: neil.wells@xtra.co.nz
Subject: Overdue Annual Return



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120 Victoria Street, Wellington 6011
P.O. Box 30-112, Lower Hutt 5040
New Zealand
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Freephone 0508 242 748

Website www.dia.govt.nz www.charities.govt.nz

23 January 2018

Dear NEIL WELLS

IMPORTANT ! Overdue Annual Return for The Animal Welfare Institute Of New Zealand

The Annual Return for The Animal Welfare Institute Of New Zealand (CC11235) for the financial year ended 30 June 2017 was due to the Charities Services by 29 December 2017.

Our records, and your public website listing on the Charities Register, show that your Annual Return has not been filed and is now overdue. Charities Services may impose penalties, including deregistration, on charities that fail to file their Annual Returns as required by the Charities Act.

To maintain your charity s eligibility for registration, please file your Annual Return immediately.

We encourage you to file your Annual Return online:

To access your Annual Return online, log in to your account at www.charities.govt.nz/log-in

If you have forgotten your password, please follow the steps below:

1. Click on the link www.register.charities.govt.nz/log-in link
2. Click the 'Forgotten your Password ' link
3. Enter your Username - [REDACTED]

The password will be sent to the contact person you provided.

Please note that when filing your Annual Return, you must provide a completed Annual Return form and a copy of your financial statements.

If you prefer to file on paper, please print a copy of the Annual Return - Form 4 from our website www.charities.govt.nz , complete it, and send it to us with a copy of your financial statements.

Alternatively, you can ask for a paper form to be posted to you by phoning us on 0508 242 748 or emailing info@charities.govt.nz Please note that we are not able to customise the paper form for you.

If there is any reason why you have not yet filed your Annual Return that you wish to discuss with us, please phone us on 0508 242 748.

On 1 April 2015, new reporting standards came into effect and registered charities will need to prepare financial statements in line with these new standards. These standards apply for the first full financial year that starts on or after 1 April 2015. Information on the new standards as well as guidance material is available on our website at www.charities.govt.nz/im-a-registered-charity/new-reporting-standards/ or contact us if you would like us to send out the information.

Please disregard this letter if you have already submitted your Annual Return and financial statements.

Yours sincerely

Reminder

Charities Services
Department of Internal Affairs
Te Tari Taiwhenua

Annual Returns under the Charities Act -

<http://www.charities.govt.nz/im-a-registered-charity/annual-returns/>

Annual Returns Checklist

<http://www.charities.govt.nz/im-a-registered-charity/annual-returns/how-to-complete-an-annual-return/>

Annual Return - help notes for completing the financial information

<http://www.charities.govt.nz/im-a-registered-charity/annual-returns/how-to-complete-an-annual-return/annual-return-financial-information-help-notes/>

From: Sec 9(2)(a)
To: Sec 9(2)
Subject: RE: Grace Haden - OIA request
Date: Tuesday, 14 August 2018 4:31:04 PM
Attachments: [image002.png](#)

Sec 9(2)(a)

Thank you for your email the contents of which I note.

Firstly I can confirm the redactions have been made as discussed.

Complaint history

Grace first filed a complaint with Charities Services in relation to AWINZ in 2012, then again in 2014 and 2017. The nature of her complaints have remained consistent; that charitable fund were being used for private pecuniary gain, specifically, that they were funding the legal proceedings which were outside the scope of the Trust deed.

All of Grace's complaints have been assessed on information provided, and have not met the threshold to proceed to an investigation.

As a part of our assessment process, Charities Services have at different times gathered information from the Trust so that we may make an informed determination on the outcome of the complaint.

Charities Services have advised Grace a number of times that we are satisfied that AWINZ was not engaging in serious wrongdoing as per section 4 of the Charities Act 2005 and we were also satisfied that AWINZ remained qualified to be a registered charity.

The latest complaint from Grace was received in 2018 following the death of Neil Wells. Grace stated that AWINZ had not updated their officer's details to remove Ms Wells. Charities Services has then contacted AWINZ to remind them of their obligations as per the Section 40 of the Charities Act 2005. This complaint was closed 31 May 2018 and is not included in the scope of the OIA.

I can confirm that there are no current complaints or investigations open in relation to AWINZ.

If you have any questions, please don't hesitate to get in touch.

Nga mihi

Sec 9(2)(a) | Assistant Investigator

Nga Ratonga Kaupapa Atawhai | Charities Services

Direct Dial: Sec 9(2)(a) | Help Desk: 0508 242 748

45 Pipitea Street, Wellington, 6011 | PO Box 30112, Lower Hutt 5040, New Zealand |

www.charities.govt.nz

Charities Services is part of the Department of Internal Affairs



**Te Tari Taiwhenua
Internal Affairs**

Do you know about the new reporting standards for registered charities? Find out more on our [website](#).

From: Sec 9(2)(a) [redacted]
Sent: Tuesday, 14 August 2018 9:27 AM
To: s9(2)(a) [redacted]
Subject: RE: Grace Haden - OIA request

Hi s9(2)(a)

All of the trustees have now responded (the last reached me only this morning). While I appreciate that you see no grounds for withholding the emails I can advise that nor do we. What the trustees have asked me to clarify, however, is when the original complaint was received by Charities Services and, to the extent possible, the nature of the allegations.

I suspect that you already realise there is a fraught history between AWINZ, or at least some of its trustees, including not only the defamation action brought by Neil Wells but also professional complaints (and a subsequent appeal) brought with the Law Society against Wyn Hoadley. The latter appeal has just recently been rejected in a strongly worded decision of the LCRO.

s9(2)(h) [redacted]
[redacted]
[redacted]
[redacted]
[redacted]
[redacted]
[redacted]

You will appreciate that this is what s9(2)(a) [redacted] has been asked to try and resolve.

Naku noa | Kind regards

Sec 9(2)(a) [redacted]
[redacted]
[redacted]
[redacted]
[redacted]

Sec 9(2)(a)

This email is confidential. If this email is not intended for you, you must not use, disclose, distribute, retransmit or copy this communication or any of its contents.

FROM 1 JULY 2018 WE MUST REQUEST PERSONAL INFORMATION FROM OUR CLIENTS.

This is because the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 (AML/CFT) now applies to us (and most other lawyers). For more information, click the following link: https://www.lawsociety.org.nz/_data/assets/pdf_file/0016/117520/Why-we-need-to-ask-you-for-information.pdf

From: s9(2)(a) [redacted]@dia.govt.nz>

Sent: Friday, 10 August, 2018 3:38 PM

To: Sec 9(2)(a) [redacted]

Cc: Sec 9(2)(a) [redacted]

Subject: Grace Haden - OIA request

Hi Sec 9(2)(a) [redacted]

Thanks for your call just now.

As discussed, please find attached the bundle of email correspondence between Charities Services and AWINZ in relation to Grace Haden's 2017 complaint (unredacted).

As I have said, Charities Services is happy for the contact details and the information about AWINZ's legal counsel to be redacted under Section 9 (a), but we are of the view there is no reasonable grounds for the substance of these emails to be withheld.

I look forward to hearing from you in due course.

Kind regards,

Sec 9(2)(a) [redacted]