



17 March 2017

Richard Thorpe  
[fyi-request-5425-f9a8cc81@requests.fyi.org.nz](mailto:fyi-request-5425-f9a8cc81@requests.fyi.org.nz)

Dear Richard

Thank you for your email of 22 February 2017 requesting, under the Official Information Act 1982 (Act), the following:

1. *The total number of audits performed or commissioned by TEC broken down by:*
  - Year
  - Type of provider (PTE/ITP/University/Wananga),
  - Whether the audit was conducted by TEC or by an external party,
  - How many were completed vs. how many still in progress?
  - How many TEOs are planned to be audited in 2017/2018?
2. *A list of external auditors engaged by TEC to perform these audits, the number performed by each, and the total paid by the TEC to each for these audits.*
3. *The final auditors report in any case where the report raised any concerns or made any recommendations relating to the allocation, consumption, use, or monitoring of funding, or which made any reference to the calculation or reporting of learner hours as it relates to funding claimed. If the determination of which auditor reports fit this criteria is prohibitively time consuming, all audit reports 2015 - current would also be acceptable.*

And your further email of 6 March 2017, refining your request, under the Act, to the following:

*I would like to refine my earlier query regarding the final reports from audits completed. The final reports from 2016 onward, rather than my earlier request for 2015 onward, would be acceptable. However I would still like to know the number of audits completed, and whether they were undertaken by the TEC directly or by 3rd party auditors, from 2015.*

Audits are a routine part of our monitoring system and are designed to ensure that a Tertiary Education Organisation (TEO) is meeting its funding conditions. All audits are conducted by the Tertiary Education Commission (TEC). The TEC does not use third parties to conduct tertiary education organisation audits.



The TEC conducts up to 70 audits each year. Providing you with copies of the audits from 2016 would involve substantial collation. Therefore, the TEC is considering refusing your request under section 18(f) of the Act. However by extending the time limit for responding to your request and levying a charge for the provision of the information the TEC may be able to provide you with the information requested.

When levying a charge, the TEC follows the recommendations set out in the Ministry of Justice Charging Guidelines. The charging guidelines allow for a charge of \$38 for every chargeable half hour of staff time spent working on your request.


The TEC estimates it would take a maximum of 31 hours to provide you with the information you are currently requesting and would need to levy a maximum charge of \$2,360 to provide you with the information. The TEC would require half the fee or \$1,180 to be paid in advance.

However, if you were willing to further refine your request, the TEC may be able to provide you with the reduced level of information at no charge or a significantly reduced charge. The TEC would consider a request for between 5 and 10 audits could be provided without the need to levy a charge. To assist you in refining your request I have included a list of all the audits undertaken by TEC during 2016.

If you have any questions, would like further assistance in refining your request, or are willing to pay a charge you can contact The TEC's Senior Advisor Government Services by email at [hugh.mcilraith@tec.govt.nz](mailto:hugh.mcilraith@tec.govt.nz).

Under section 28(3) of the Act you have the right to ask the Ombudsman to review any decision the TEC makes to charge a fee for access to official information.

Yours sincerely,

A handwritten signature in blue ink, appearing to read 'Tim Fowler', with a stylized flourish at the end.

Tim Fowler  
Chief Executive

## **Tertiary education organisation audits conducted by the Tertiary Education Commission in 2016**

Samala Robinson Academy  
Auckland Hotel and Chefs Training School  
Morrinsville Training Centre  
Service IQ  
Catholic Institute of Aotearoa  
Te Wananga Whare Tapere o Takitimu  
New Zealand Motor ITO  
Te Wananga o Raukawa  
BCITO  
Southern Institute of Technology  
The Learning Connexion  
Career Network (Audit cancelled as deregistered)  
Waikato Institute of Technology  
Bay of Plenty College of Homeopathy  
Skills Update Limited  
Waikato School of Hairdressing  
YMCA  
Wairoa Waikaremoana Maori Trust Board  
Wellpark College of Natural Therapies  
NZ College of Chinese Medicine  
NZ School of Education  
NZ Management Academies  
NZ School College of Massage Limited  
Service Skills Centre  
Te Arawa Lakes Trust  
Manaaki Ora Trust  
MSL  
Front-Line Training Consultancy  
Multicultural Learning & Support Services Ltd  
Te Kohanga Reo National Trust Board  
U-Turn Community Training Services Limited  
Netcor Campus (NZ Education and Tourism)  
People Potential  
NZ Institute of Fashion Technology  
Quality Education Services Trust Limited  
Crown Institute of Studies  
Corporate Academy Group  
Education Action Limited  
NMIT  
New Zealand Institute of Sport  
Pacific International Hotel Management School  
Adult Literacy Education & Consulting  
Trade Education Limited  
Lakeland Learning Company  
Maata Waka Enterprises  
Anamata Charitable Trust (Focused)  
Anamata Charitable Trust (BAU)  
English Teaching College  
School of Audio Engineering Limited  
Make Futures Happen International Institute  
FutureCOL  
G&H Training Limited