



15 November 2024

DOIA-REQ-0005392

John Luke

Email: fyi-request-28839-a230ad3c@requests.fyi.org.nz

Dear John

Thank you for your email of 20 October 2024 to the Ministry of Business, Innovation and Employment (MBIE) requesting, under the Official Information Act 1982 (the Act), the following information:

"I noted, you have recently call for nomination for your Financial Advisers Disciplinary Committee. May I ask how you called for public nomination and on what platform you have call for public nominations e.g. govt.jobs website. Also, how many applications you have received from the public and how many you have received from other nominating agencies such as TPK or Ministry of women etc for your role. How many you have shortlisted and how many you have interviewed. Also, can I request to view the standard appointment letter for member of the Financial Advisers Disciplinary Committee. I am happy for you to remove all the personal information."

Please see below my response to your request.

Public nominations for the Financial Advisers Disciplinary Committee Member position were as follows:

- The position was advertised on Govt.jobs and Seek platforms
- Forty-nine applications were received
- Two candidates were endorsed by the Ministry for Ethnic Communities

We are currently in the process of interviewing the shortlisted candidates and no new appointment has been made yet for the Financial Advisers Disciplinary Committee. Therefore, I am refusing part of your request, namely, for a copy of *the appointment letter for member of the Financial Advisers Disciplinary Committee* under section 18 (e) of the Act as the document alleged to contain the information requested does not exist.

I trust you will find this information useful.

If you wish to discuss any aspect of your request or this response, or if you require any further assistance, please contact OIA@mbie.govt.nz.

Labour, Science and Enterprise

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You have the right to seek an investigation and review by the Ombudsman of this decision, in accordance with section 28(3) of the Act. Information about how to make a complaint is available at www.ombudsman.parliament.nz or freephone 0800 802 602.

Yours sincerely,

A handwritten signature in blue ink, appearing to read 'Paul Metcalf', with a large, stylized flourish at the end.

Paul Metcalf
Manager Appointments and Governance
Labour Science and Enterprise, MBIE