

Item #: 23.10-03A
Item: **Enterprise Risk updates**
To: Electoral Commission
For: Board meeting 16 August 2023
Prepared by: Kristin Leslie, Manager Strategy Risk and Assurance

Recommendations

It is recommended that the Board:

1. **note** the attached updates on recruitment; privacy and information management; and disruptive events at voting places.
2. **discuss** overall Commission preparations in each of the areas
3. **note** that updates from previous deep dives (recruitment and privacy and information management) have been incorporated into the regular reporting channels, and have been included in the monthly update.

Purpose

1. This paper updates the Board on cross Commission activities to anticipate, prepare for, manage and mitigate the impacts of the relevant risks being examined.

Background

2. On 17 May 2023 the Board commissioned a number of enterprise risk deep dives on potential issues where the Board would like to seek further assurance. The purpose of these pieces of work is for identified areas:
 - a. to provide the Board with a holistic, summarised view of the risk and how it may impact outcomes the Commission seeks to enable; which Commission activities or programmes of work may be impacted; and how the Commission has prepared to reduce, mitigate, avoid or respond. (This view should improve board visibility or confidence that the risk is broadly understood and being actioned in a co-ordinated manner at the appropriate levels within the Commission.)
 - b. to enable the Board to have a conversation about whether activities being undertaken result in an overall risk profile which aligns to the risk appetite and risk tolerance of the Commission.

Discussion

3. The potential issues were acknowledged not solely to be areas where risks may materialise, but also where they may be perceived to have materialised. Therefore the Commission needs to be well prepared to make statements to redress incorrect or incomplete public understanding of the risk.
4. The first round of updates on recruitment; disruptive events at voting places; and privacy and information management were delivered in July.

Next steps

4. *September updates are to be Cybersecurity and counter disinformation activity. The updates will include a high-level update of the previously discussed topics.*

Appendix

Appendix: A3 Updates on Māori Engagement and Cyclone and flood affected areas from Jan-Feb 23.