# Case Officer View <br> Liveness Assessments CCMS 

 does it?

What is
Liveness, and who


Where does this fit in the process?


How do I complete this check?

Applicants can now complete an Online Identity Check in their Citizenship by Grant application. By the end of this module, you will be able to assess these "liveness" checks, and identify the process required if the customer did not complete liveness.

Tips to complete this module
We recommend starting with "What is Liveness, and who does it?"
You will return to this menu at the end of each section. You can move on or re-visit any of the topics below

When you have completed all topics, the quiz button will show to complete the module


What if my customer didn't complete it?


What resources do I have?

## What is a Liveness Assessment?

When a customer agrees to participate in an Online Identity Check when applying online, they will be instructed to take a still photo, or 'selfie', of themselves first.

The system will then prompt a series of filmed challenges for the customer which include blinking, nodding, and shaking their head.

The photo and challenges make up the liveness assessment, and are used by you to help verify the customers identity against records held by Immigration, and other sources of identity the customer provides. The filmed challenges help assess that the identity is not being used fraudulently.



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## Applications before 30 July 2020

Applications that were submitted before liveness was released on 30 July are not required to undergo a liveness assessment. The previous identity standard applies to these applications as shown in the diagram

Even though these applications are not required to do liveness, if the AMS image is missing or unusable you can offer to send a liveness link instead of the customer sending in their original passport or coming in to attend an appointment.

This new addition is a great option to offer your customers a it is normally faster, convenient for online users, and may not require the applicant to leave their home to complete it.

How do I send a liveness link?

Where can laccess the grid shown and information for applications submitted before

ID Proofing grid for applications submitted before 30th July 2020;



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What is Liveness, and who does it?


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What if my customer didn't complete it?








## Validating Identity is Bound

The system step for Validation is located in Tuwhiria te Tikanga. This link can also be found in the Resources button at the top right of this module.

The Validation system steps indicate you need to re-confirm the Assessor's liveness assessment by opening the reference video that was stated in the comments, and checking:

- You agree with the assessment made by the Assessor in regards to the photo triangulation
-You agree with the assessment made by the Assessor in regards to the liveness check



Applicants can now complete an Online Identity Check in their Citizenship by Grant application. By the end of this module, you will be able to assess these "liveness" checks, and identify the process required if the customer did not


What is Liveness, and who does it?


Where does this fit in the process?


How do I complete this check?


What if my customer didn't complete it?


What resources do I have?


## What if my customer didn't do liveness?

If you see no liveness URL present in the application you will need to follow this up. This may be because:

- The applicant selected "I cannot do this online" when they applied because they had no device with a camera.
- The applicant has turned from a 'child' to a 'youth' by the time you started processing the application.
-The application was submitted before 30 July 2020, and is not subject to liveness.

If the application is subject to liveness, offering to send the liveness link again is a good option - the link is sent via email, and they could access that on a device with a camera such as a phone or laptop.

How do I send a liveness link?

If the customer cannot access a device with a camera to complete liveness, or does not want to do liveness, then they have the options of sending in their original passport or attending an Identity Confirmation appointment. (i)




Question One
If you are processing an application for a child under 14 , which person is required to do the liveness check?

The requestor of the application

- The consenting parent

The child and parent



| Question Three | Raise straight to the ID proofing <br> If an applicant did not <br> complete liveness, what <br> options can you offer to <br> verify their identity? |
| :---: | :--- |
| with the customer |  |
| They must do liveness or it will be <br> declined <br> Send a liveness link again or Send in <br> original passport or Attend an <br> appointment |  |
|  |  |



Question Four
When you log in to DAON to view the liveness check for your applicant, what is the first check you need to do?

Check the photo comparison under
'Face Authentication - Trusted Source Face'

0 Check every challenge recording Check a still frame from one of the challenges


## Question Five

If you see a liveness recording failed because the customer shook their head instead of nodding, what will you do?

As long as I still determine it is not fraudulent, I can continue processing.

Refer to Investigations to check the recording

They have failed liveness and will need to be contacted to do it again


## Question Six

If a customer applied before 30 July 2020, do they have to do liveness?

No. But if the AMS photo is missing, I can now offer to send them a liveness link instead of visiting an office or sending a passport

Yes. We have to apply liveness to all applications


## Kua mutu

Finished
Congratulations, you have completed this activity.
If you would like to view this module again, select the
replay button:
REPLAY

To return to the course page, close this window.

The following modules should also be completed:

- Generating a Liveness Link
- Customer View - Liveness Assessments (optional)



## What will be covered in this module?

This module will guide you through the process of generating a New Liveness Check link in CCMS.
During this module, you will find the answers to the following questions:

- How can I generate a New Liveness Check link in CCMS?
- In what scenarios will I need to generate a New Liveness Check link?
- How can I tell the difference between a completed Liveness link, an expired Liveness link, or a Liveness link that is waiting for the customer to complete it?
- Case Officers only: How can I copy and paste a link to another person's record?



## Why send a New Liveness Check?

For Adult applications, a New Liveness Check should be offered and sent to a Customer if they opted not to complete it when filling in their application online, or if you determine that the Liveness Check they submitted with their application was unsatisfactory.

' referee Travel Character Citizenship ceremony Statements Supporting documents


IIII Liveness check Liveness check $x$


IIveness check：Liveness check $\times$ $\square$

TRN Service Management

A Home
(1) Recent
3136N6B4B3137 - Saved
Steve PE
Liveness check
54 Pinned
General Liveness results Citizenship results Document results Related
My work
第 Dashboards
(图) Activities
Relationship to * Adut
applicant
四 Harriet Finau $x$
Identity
Email . harryfinau@testnotreal.com
2 Persons

## Send check

When you are happy that the information has been pulled in，click the Save button and then click Send Liveness．It＇s good practice to give the applicant a call to inform them that you have sent them a link to complete a Liveness test and that they have 24 hrs to do so．If the applicant says they can＇t see the email in their inbox，ask them to check their Junk folder．

ication
lenna lones
Fublect anes 7 K 88 KK
Kerycer rew In progress loe Bloggs $\checkmark$

## Secondary consent giver

Children that are from countries that don't allow dual citizenship will need a secondary consent giver to confirm that the child is applying for citizenship.

The secondary consent giver will have to complete a consent form and provide a scanned copy of their passport. Liveness is not required of the secondary consent giver, however you will need to compare and bind the signatures on these documents.

Once you have retrieved their details and made contact with them, create a person record for them if necessary.


## How can I tell if Liveness is completed?

If there is a link visible in the 'Liveness check' field; that is an indication that the customer has at least attempted Liveness.
You can see the results of each part of their Liveness check in the columns. Under the Status column, you will either see: Active or no status at all if a Liveness check hasn't been sent.
In a later update to CCMS, you will see more details here, such as whether the link is new, requested, expired, or completed.


Supporting documents
load su... 19/06/2020 8:01 AM
P Persons Liveness check



## What do you think?

Click the NEXT button to start a short 8 question quiz that will test you on what was covered in this module.


## Question 2

You can see that there is no Liveness link in the application you are processing.

What should you do next?
Offer the Customer the option to complete liveness.

Deny the Customer citizenship on the basis that they did not provide a liveness check.


## Question 3

The Customer is refusing to perform any liveness checks.

What should you do next?
Deny the Customer citizenship on the basis that they did not provide a liveness check.

Continue offering the Customer the liveness link until they change their mind.

Use the identity proofing grid to determine what should be done next.

## Question 4

You are processing a child application which was submitted by itself.

What should you do next?
Create or find a person record for the consent giver of the child and send them the liveness link.

Send the New Liveness Check link to the
 child.

Use the identity proofing grid to determine what should be done next.


## Question 5

You've created a person record for the consent giver of the child. Where do you click to search for, and add their details?

## Question 6

The child in the application you are processing is from a country that doesn't allow dual citizenship. A signed consent form and a scanned copy of the secondary consent giver must be provided.

Retrieve the details of the secondary consent giver and contact them via phone stating that consent is needed and advise them of the process. Send them an email after with the 'Parental consent for applicant under 16' form.

Contact the primary consent giver to explain that consent will be required from the secondary consent giver.

Don't need to do anything as the consenting parent has stated they have informed the othe parent and given consent for the child to obtai the citizenship.

## Question 7

While processing the application, the child turns 14.

The application reverts back to Complete and Correct and the Liveness Check link will need to be sent to the youth

What should happen next?




## Case Officer View

 Immigration API Results CCMS
## What is an API?

To support our staff in processing citizenship by grant applications, a new API between CCMS and Immigration NZ's AMS has been introduced. An API (Application Programming Interface) is a realtime connection that sends information between the two systems.

This API has three main purposes. Select an image below to find out more about these key areas for the API:


Implementing this API ensures our customers have a better experience and increased certainty before they apply, our officers process less refunds and withdrawals, and our privacy practices are improved by accessing the information we are legally allowed to.

Select the 'Tua I Next' button to continue..

## Pre-Application Presence Calculator

Customers can visit govt.nz to enter their travel document details to get an immediate response about
whether they meet presence on that day


## Summary of Results for Officers

The information from AMS is brought into CCMS, displaying the applicant's information as well as having the calculation for presence completed and shown

## Automatic Notification to INZ

Instead of manually updating Immigration NZ every 6 months with details of new citizens, the API will notify INZ 7 days after the customers ceremony is closed


## What is in this module?

This API was released 3 . December 2020 and has been applied to new applications submitted from that date.

This module will largely focus on the summary of results that you will use as a Citizenship Case Officer to help complete tasks that require the checking of Immigration NZ details.

By the end of this module you will be able to:

- Locate the details of your applicant's Immigration history that are required to assess tasks
- Identify whether presence and status has been met
- Identify system exceptions that may arise when a part or parts of the API were unsuccessful and how to resolve them

Select the 'Tua | Next' button to continue.

## Where can I learn about the pre-application presence calculator? <br> To learn about how the new API benefits our customers with the introduction of the pre-application Presence Calculator, close this window and visit the <br> module: <br> Contact Centre View - Pre-Application Presence Calculator require the checking of Immigration NZ details.

## How does the API work?

As the calculation for presence is subject to the date an applicant submits their application, the API for the INZ results summary is enacted as soon as the application is paid and submitted online.

The API immediately reaches out to Immigration's AMS firstly locating the unique record using the details the applicant provided, and all information that comes with that unique match. This information includes their visa history, alerts, travel movements, and the presence calculation result.

What if the API cannot find a unique match?

$\square$
The results are displayed in the application in CCMS, ready to be accessed when you pick up an application.

Select the 'Tua | Next' button to continue.



## Where can I access the INZ Results?

In the image below we see the INZ Results table displayed in the 'General' tab of an application, including some easy-to-see details about the applicant.

To view more information about what you might see in each of these fields, select the name of each column. When you have viewed the information for each of the columns, you will be able
to continue the module.



## Identity Match

In this field, you may see the following results:

```
1:1 match
```

A one-to-one match is made when the API located a unique record for the applicant.

## No match

If the APt could not locate a record that matched the applicant's details, this will display as no match.

```
1:N match
```

If the API located many records that may match the applicant's, this will display as one-to-many.


If the API was down at the time of the calculation, these three dashes will display.

```
CLICK TO RETURN
```

Allocated Now Como

Prelated


## Presence and Entitled to reside

## In this field, you may see the following results:

If the API was able to locate a unique record, and has calculated that presence has been met, this will show as a pass.

## Conditions exist

If there are conditions on the visa, this will show here. These conditions may require further investigation, or may not impact processing. A condition that will frequently come up is 'please apply for transfer of visa when the passport expires...: You will be able to click in to the summary results to see if presence has been met.





## How do ! access the detail?

The INZ Results summary that we see in this image is the high level view of what the API generated. In the previous slide we took a look into what each of these columns mean. To look further into the results to see detailed information you can double click into the record.

Let us take a closer look into the results that you are required to assess as a Case Officer to get a feel for how it looks.

Double click the INZ Results record highlighted in green to enter into the comprehensive results of the applicant...









documents provided

## What tasks are impacted?

The tasks that Case Officers would previously access AMS to complete are now able to be accessed via the INZ Results Summary in the application.

## $\longleftarrow$ On the left you can see some tasks from each unit of work.

Have a go at clicking the tasks you think will require you to

## check the INZ Results

When the correct tasks are selected, you will be able to continue.

## Check your understanding

So far in this module we have had a look at:

- What an API is, and how this API works between DIA and INZ
- Where to locate the Immigration API results
- How to locate and understand the information in the results table - What tasks we need to access the API results in order to complete

In the next section you will be able to check your understanding against some task related questions, using the INZ API to complete tasks and decide what to do next as a result of the information.

When you are ready to start, select the button below:

## Begin Quiz





Ka pai! You have located the section which can contain 'other names' from the INZ Results.

What would you do next? (Select your answer and click submit)

Add the names to the 'other names' section of the application if necessary

No further action


## Question 2

This officer is checking to see if an image was provided by
INZ to assist with 'Identity is bound'.
Locate the applicant's image within the INZ results.



On this table it shows 'Conditions exist' under presence. The officer wants to check what conditions have been applied to this applicant.

Locate the visa history in the INZ results.



Ka pai! You have located the 'visa history' showing the conditions.

What would you do next? (Select your answer and click submit)

These conditions do not affect the application. I can continue.

These conditions affect the application and 1 must follow up.





## What if something goes wrong?

There can be a range of different exceptions that may display in your applicants INZ results. This can occur when a match for the applicant has been found and there is an exception in the applicant's information, or whether the API found too many (or no) matches for your applicant's identity.

When an exception is present, you will need to first find out what the details of the exception are in order to determine what you need to do to resolve the problem.

Select the 'Tua | Next' button to learn more about what these exceptions are...

```
INZ results
\begin{tabular}{|c|c|c|c|c|}
\hline , [Full name & Idenaty ma. & Presencere... & - Alierts & | Date/t. \\
\hline Raj Kaur & 1:1 match & Fail & Not found & 25/11/20 \\
\hline
\end{tabular}
```


## A. Exceptions Present - check exceptions tab

## New INZ CBG eligibility result

INZ CBG eligibility result $1: 1$ match result

Identity Travel and Visa Alerts

## 1:1 MatchFound

Possible exceptions

When the INZ API has located a client record that matches the applicant's biodata, this will show the applicant's information on the General Tab of the application as seen on the left. This is known as a 1:1 match.

When you double click into the results table, you will immediately be able to tell if an exception is present by the banner across the top of the table.

Select the Exceptions tab on the left image to see information on the different API errors that can occur for an applicant...

| API Exceptions |  |
| :--- | :--- |
| Exception type $\checkmark$ | Exception $\checkmark$ |
| Data Error | No image returned |
| Data Error | Not eligible due to visa validity period too short |
| Data Error | Not eligible due to visa |
| Data Error | No travel movements found |
| Data Error |  |

## Exception Types

Here we have examples of the errors that can occur in the INZ API Results Summary when an applicant was able to be located. Your applicant could have none, one, or a combination of these exceptions.

Select the link to any of the descriptions in the 'Exception' column to find out more about how this may look to you as an officer, and how you may resolve it.

When all exceptions have been viewed, you will be able to continue the module.

| API Exceptions |  |
| :---: | :---: |
| Exception type $v$ | Exception $v$ |
| Data Error | No image returned |
| Data Error | Not eligible due to visa validity period too short |
| Data Error | Not eligible due to visa |
| Data Error | No travel movements found |
| Data Error |  |

## No image returned

This exception indicates that a photo has not been recorded in Immigration's AMS system, and has not returned an image to show.

The INZ identity supporting documents section will be blank.

Resolve by: Follow business as usual process when an image is not usable or present in AMS today.

Okay, got it!

| API Exceptions |  |
| :--- | :--- |
| Exception type | Exception |
| Data Error | No image returned |
| Data Error | Not eligible due to visa validity period too short |
| Data Error | Not eligible due to visa |
| Data Error | No travel movements found |
| Data Error |  |

## Consecutive travel movements found

This exception indicates that there may be dates missing or duplicate movements in Immigration's AMS, for example two arrival dates and no departure date.

The travel and visa tab will not show a calculation for total days in NZ (fail), and no year breakdown, as the API has not been able to work that out with this error.

Resolve by: Follow business as usual process when this occurs in AMS today.

Okay, got it!

| API Exceptions |  |
| :--- | :--- |
| Exception type | Exception |
| Data Error | No image returned |
| Data Error | Not eligible due to visa validity period too short due to visa |
| Data Error | No travel movements found |
| Data Error | Nata Error |

## Not eligible due to visa validity period too short

This exception indicates the applicant has not held their valid Residence visa for the minimum amount of time. You can see the date this was granted in the travel and visa tab.

The travel and visa tab will not show a calculation for total days in NZ (fail), and no year breakdown, as the API has not been able to work that out with this error.

Resolve by: Follow business as usual process when this occurs with applicants.

Okay, got it!

| API Exceptions |  |
| :--- | :--- |
| Exception type $v$ | Exception $v$ |
| Data Error | No image returned |
| Data Error | Nonsecutive travel movements found |
| Data Error | Not eligible due to visa to visa validity period too short |
| Data Error | No travel movements found |
| Data Error |  |

## Not eligible due to visa

This exception indicates that the applicant does not hold a valid visa to apply for Citizenship by Grant. There will be no 'date residency permit granted' in the travel and visa tab, as this has not occurred.

The travel and visa tab will not show a calculation for total days in NZ (fail), and no year breakdown, as the API has not been able to work that out with this error.

Resolve by: Follow business as usual process when this occurs with applicants.


## No travel movements found

This exception indicates that Immigration's AMS does not hold any travel movements under this record.

If there are no travel movements, the travel and visa tab will not show a calculation for total days in NZ (fail), and no year breakdown, as the API has not been able to work that out with this error. The full history of travel movements will be blank.

Resolve by: Follow business as usual process when this occurs in AMS today.

## Okay, got it!

Kā rawe! You have viewed all the exception
examples.
Click here to continue the module.

## 1:N Match Found

When the INZ API has found biodata that matches more than one client record in Immigration's AMS, this will display as a one-to-many match (1:N match). As there was not one record found, no name or presence calculations will show as seen in the image on the right

When you double click into the results table, you will only see one page containing the potential identity matches. Until one match is made, the complete results table with all tabs will not populate.

Select the Identity Results tab on the right image to see the information shown when many matches are found...


A Exceptions Present - check exceptions tab
New INZ CBG eligibility result
INZ CBG eligibility result $1: N$ match result

## Multiple Identities Found

The INZ results table will show one page only, detailing the API error and the potential client record matches. The below image shows an example of what this could look like.

Until one match has been made, there will be no other tabs to view.
Resolve by: As we do not yet have the ability to have this information updated and re-triggered in the INZ API, you will need to manually access AMS and follow business as usual process for checking your tasks e.g. using bruteforce

Okay, got it!

Identity Results

| Client id | Identity id | Given names(s) | Middle name(s) | Family name | Gender | Date of birth |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 36055555 | 261758412 | Dennis | $\ldots$ | de Bret | Male | $13 / 11 / 1980$ |
| 35744484 | 874112122 | Denny | Steve | Debret | Male | $13 / 11 / 1980$ |
| 45215035 | 974123067 | Den | Steve | Brett | Male | $13 / 11 / 1981$ |



Exceptions Present - check exceptions tab

## New INZ CBG eligibility result

INZ CBG eligibility result Information

## Result

## No Match Found or API Failed

When the INZ API has not found a client record that matches the applicant's biodata, this will display as a 'No match'. If the API was down (failed) at the time the applicant applied, this was display the API error' message.

As there was no record found, no name or presence calculations will show. When you double click into the results table, you will only see one page containing the details of the results.

Select the Result tab on the left image to see the information shown when no matches are found or the API was down...

## Result



## No Identity Found or API failed

The INZ results table will show one page only, detailing the API error and the potential client record matches. The image on the left shows an example of what this could look like when the identity wasn't found, or the service was down.

Until one match has been made, there will be no other tabs to view.

## Out of scope

## Ipurangiroto

Resources

## Tuwhiria te Tikanga

All steps and procedures to complete an application that has the INZ API Results Table are located in Tuwhiria te Tikanga from the CCMS Overview Page. The INZ API steps can be saved as a favourite to access easily.

The link to the Tuwhiria te Tikanga page is below, and can also be found in the 'Resources' button at the top right of this module:

## CCMS (INZ API) - Overview

Select the 'Tua I Next' button to continue.



## Notification to INZ

The API allows for the immediate sharing of information. Immigration NZ will be notified within 6-7 days of the applicant's citizenship status after the ceremony has been closed. This is a change from our current manual process of notifying INZ of new citizens, which is usually once every six months.

If the customer has a current overseas passport with their residency
visa attached, this visa will be cancelled. If the applicant is travelling on this passport, they can discuss this with INZ to get an endorsement of NZ citizenship included, or they may opt to apply for a New Zealand passport.

This information is known to the Contact Centre, as well as being included in the automatic notification emails to applicants.

Select the 'Tua | Next' button to continue.

## Summary

The release of the Immigration New Zealand API introduces the ability for customers to check their presence status before they apply online, as well as an easily accessible summary of results
available for our staff in the application itself.

Out of scope

Select the 'Tua | Next' button.

## Kua mutu

## Finished

Congratulations, you have completed this activity. If you
would like to view this module again from the start, select
the replay button:

## REPLAY

To return to the ilearn course page, close this window.

