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Overseas firms that have called New Zealanders

Since August 2000 we have published the names of firms who have targeted people in New Zealand. These callers do not hold a New Zealand broker's licence or futures dealer authorisation and do not comply with New Zealand law.

They have not provided evidence that they are registered in their home jurisdiction to undertake this type of securities business.

This list is not exhaustive. If you are cold called from a firm that is not on the list that does not mean it is genuine. It is always unwise to send money overseas to someone who you know only from an unsolicited call.

For information on questions to ask before making any investment decision see [Test before you invest](#).

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- **Factor Ten Financial**

30th Floor, Central Plaza
18 Harbour Road
HONG KONG

Published on this site February 2006

- **Fairchild Roth Financial Inc**

Gallery 4
12 Leadenhall Street
London EC3V 1LP
UNITED KINGDOM

Published on this site November 2003

- **Famex Financial**

9/F Henan Building
17-19 Luard Road
Wanchai, CBD District
HONG KONG

Phone +852 3180 7888

Fax +852 3180 7899

Email info@famexfinancial.com

Website www.famexfinancial.com

Associated individual John White

This firm must not be confused with Famex Financial who have the same address and website but different phone and fax details. The legitimate Famex Financial can be contacted by phone +852 3180 7844 or by fax on +852 3180 7874.

Published on this site February 2010

- **Federal Equities Corporation**

51 Madison Avenue
New York, NY 1001
UNITED STATES OF AMERICA

Phone: +1 646 360 1718
Email: info@federalequitiescorp.com
Website: www.federalequitiescorp.com

Associated individuals: James Fries, John Ognar
Published on this site June 2010

- **Financial Assets Corporation**

30 Wall Street
Suite 1203
New York, NY 10005
UNITED STATES OF AMERICA

Published on this site October 2005

- **First Capital Securities Limited**

P O Box 847
1 Capital Place
Georgetown
Grand Cayman
CAYMAN ISLANDS

Published on this site January 2004

- **First Colonial Management**

17 Ensign House, Admirals Way
London E14 9XQ

Associate individual: Kent Daniels
Published on this site June 2005

- **First Financial Management**

255 W 36th Street
New York, NY 10018-7798
USA

Phone: +1 646 351 1282
Fax: +1 646 961 4811
Email: info@firstfinancialmgmt.com

Website: www.firstfinancialmgmt.com

Associated individuals: Rob Jacob, John Lewis, Kris Reynolds,
Ashley Waters

Published on this site September 2010

- **First Independent Capital Resources, Inc**

Shiroyuma JT Movi Building
8-1 Toranomano 4 Chome
Minato-Ku, Tokyo 105
JAPAN

Published on this site September 2002

- **First Independent Resource Management Group Inc**

4, V.S. Prospero
Milano
ITALY

Published on this site September 2001

- **First International Equity & Acquisition Inc**

654 Madison Avenue
New York
NY 10021
UNITED STATES OF AMERICA

Published on this site April 2004

- **First International Equity Inc.**

UNITED STATES OF AMERICA

Associated individual: James C. King

Published on this site March 2003

- **First National Financial Group Inc**

Empire State Building
350 6th Avenue
Suite 3304
New York
NY 10118
UNITED STATES OF
AMERICA

Published on this site November 2003

- **First National Reliance Incorporated**

300 5th Avenue
New York, NY 10001
UNITED STATES OF AMERICA

Tel: +1 212 542 9166

Email: legal@firstnationalreliance.com

Website: www.firstnationalreliance.com

Associated individuals: John Marshall Robert M. Pellecchia
James Henry Johnson Doug Kramer
Published on this site: October 2010

- **Financial Marketing**

35/F Central Plaza
18 Harbour Road
Wanchai
HONG KONG

Phone: +852 3051 9888/ 852 3973 3983
Fax: +852 3006 4252
Email: info@fusionfinancialmarketing.com
Web: www.fusionfinancialmarketing.com

- **First Standard Consolidated**

326 Regent Street
London, UK W1B 3HH
Phone: +4419 2421 7168 / +4484 5130 8710
Fax: +4418 9280 0012 / +4419 2420 0581
Website: www.firststandardcsld.com
Email: info@firststandardcsld.com

Associated individuals: Ted Simms

Published on this site March 2010

- **Fisher Sterling International Limited**

302 Hung Kei Mansion
5-8 Queen Victoria Street Central
HONG KONG

Associated individual: Dave Adams
Published on this site September 2001

- **Flagship Consolidated**

663 King's Road
HONG KONG

Phone: +852 3693 1523
Fax: +852 3015 8912
E-mail: info@flagshipcsld.com
website: www.flagshipcsld.com

Associated individual: Jack Perez
Published on this site February 2009

- **Fleetwood Mergers and Acquisitions**

2500 City West Blvd
Houston

TX 77042-3000
UNITED STATES OF AMERICA

Published on this site October 2006

- **Foreign Currency International**

27 Sukhumvit Soi 20
Bangkok, 10110
THAILAND

Associated individual: Stephen Sharpe
Published on this site December 2000

- **Foreign Trade International**

12th Floor
253 Sukhumvit Soi
21 Asoke Road
Bangkok 10110
THAILAND

Associated individuals: Ian Morris, Christian Webb, Michael Lumby, Alex Pagan, Dennis Askew.
Published on this site August 2001

- **FourWinds Trading Systems**

Drake Chambers, Road Town
Tortola
PO Box 3321
BRITISH VIRGIN ISLANDS

Associated individual: Scott Mullens
Published on this site July 2004

- **Fund Investors Inc.**

Fund Investors Inc.
10 King Street
White Plains
NY 10604
USA

Tel: + 1 914 214 5303
Fax: + 1 914 462 3783
Email: info@fundinvestorsinllc.com
Website: www.fundinvestorsinllc.com

Associated individual: Allan Gates.
Note: not to be confused with the genuine firm

Published on this site March 2011

- **Fusion Financial Marketing**

35/F Central Plaza

18 Harbour Road
Wanchai
HONG KONG

Phone: +852 3051 9888/ 852 3973 3983
Fax: +852 3006 4252
Email: info@fusionfinancialmarketing.com
Web: www.fusionfinancialmarketing.com

- **FX Advisors East, LLC**

200 Public Square Suite 2955
Cleveland
Ohio
UNITED STATES OF AMERICA

Published on this site August 2001

- **Gaylord & Finch**

400 Broadway
Seattle
Washington
UNITED STATES OF AMERICA

Associated individual: Peter Williams
Published on this site September 2002

- **Gilt Edged Equities**

Fax: +1 212 8133254
Associated individual: Jeffrey Muller

Not to be confused with the genuine firm and individual, Gilt-Edged Equities, LLC which has no retail customers.

Also associated with Gilt Edged Equities are:

Global Transfer Corporation
First Standard Consolidated
Hobdell and Williamson
Alpha REIT Advisors Co. Ltd

Published on this site March 2010

- **Glendale Settlements Incorporated**

Motion Picture Association Building
888 16th Street NW
Suite 800
Washington DC 20006
UNITED STATES OF AMERICA

Associated individual: James Shea
Published on this site July 2005

- **Global Asset Advisors S.A.**
(purportedly operating from Spain)

Associated individual: Marc Dannenberg
Published on this site April 2003

- **Global Capital Securities Corporation**
538 Broad Hollow Road
Suite 315E
Melville
New York
UNITED STATES OF AMERICA

Associated individuals: Christopher Ferruzza, James Sparacino
Published on this site April 2001

- **Global Option Trading Co Limited**
14th Floor
253 Sukhumvit Soy 21, Asoke Rd
Bangkok 10110
THAILAND

Associated individuals: Jamie Nettleship, Callum Hunt
Published on this site October 2001

- **Global Select Portfolio Corp.**
Carson City, Nevada
UNITED STATES OF AMERICA
Phone: 702 430 1791,
in London - Amsterdam
Phone: +44 2081 441097
Fax: +44 208 0825839
E-mail: david.benson@globalspc.net
website: www.globalspcorp.com

Associated individual: David Benson
Published on this site November 2008

- **Global Transfer Corporation**
66 Mody Road, Tsim Sha Tsui East
Kowloon, Hong Kong
Phone: +852 9046 2063
Fax: +852 3051 8205/8
Website: www.globaltransfercorp.com
Email: info@globaltransfercorp.com

Published on this site March 2010

- **Grand Capital Incorporated**
250 West 57th Street,
New York,

New York 10107*
UNITED STATES OF AMERICA

Associated individual: Kelly Campbell
Grand Capital Incorporated is a fraudulent firm using the address of Grand Capital Corporation which is a legitimate corporation in United States of America.

Published on this site August 2006

- **Granite Consolidated**

22 Queen's Road
Central
Hong Kong

Published on this site November 2007

- **Graves Stanley & Peabody Limited**

(latest activities are thought to be based in Spain)

Associated individual: Richard Gaston

- **Greenfield & Mato**

Koraku Mori Bldg 9F
1-4-14 Koraku
Bunkyo-Ku
TOKYO

Published on this site January 2007

- **Greater Advisory**

Website: www.greateradvisory.com
E-mail: info@greateradvisory.com
Associated individuals: David Proctor, John Castro

Published on this site February 2010

- **Gresham Management Inc**

207 South 79th Street
Suite 1006
New York
NY 10024
UNITED STATES OF AMERICA

Associated individuals: Edward Saunders, Martin Nelson,
Cynthia Landsburg, Charles Hampton
Published on this site September 2001

- **Greystone Asset Management**

120 Broadway, Suite 1744
New York, NY 10271
USA

Fax: 1 212 208 2496
Email: info@greystoneassetmanagement.com
Website: www.greystoneassetmanagement.com
Associated individuals: Roger O. Elmer, Daniel J Hayes

Not to be confused with the genuine firm which has no website:

Greystone Asset Management, LLC
780 Third Avenue, 43rd Floor
New York, NY 10017
USA
Telephone: 1 212-521-3817

Published on this site August 2009

- **Griffin Mergers and Acquisitions Office**

8th Street and Nicollet Mall, Downtown
Minneapolis, MN 55402-8773
UNITED STATES OF AMERICA

Published on this site May 2007

- **Guaranty Consolidated**

New York
UNITED STATES OF AMERICA

Published on this site July 2007

- **Hamilton & Associates**

Bahnhofstrasse
52 CH8001
Zurich
SWITZERLAND

Associated individuals: Alexander Woodbridge, David A
Smith, Michael Kennedy

Published on this site October 2002

- **Hamilton Trading Limited**

OBF Centre
Aratha Graha Bldg 6th Floor
JI Jend Sudiram Kav
52-53 Jakarta 12190
INDONESIA

Phone: +62 2152898454

Fax: +62 2152898456

E-mail: xxxx@xxxxxxxxxxxxxxxxxxx.xxx

Website: www.hamilton-trading.com

Associated individuals: Brian Johnstone, Richmond Capital
Management

Published on this site November 2008

- **Hanworth Weir Ltd**

Penthouse Level, Suntec Tower Three
8 Temasek Boulevard
SINGAPORE

Published on this site 04 June 2004

- **Harbour Capital Management**

600 California St,
15 Floor
San Francisco
CA 94108
UNITED STATES OF AMERICA

Published on this site August 2006

- **Harbor Light Securities Inc**

1093 Deep Woods Drive
Marion, North Carolina 28752
UNITED STATES OF AMERICA
Phone: +1 828 527 0244
Fax: +1 877 426 8220
Email: info@harborlightsecinc.com
Website: www.harborlightsecinc.com

Associated individuals: Anita Baker, James Bradley, Dereck
Hanson, Mark Mendoza

NOT TO BE CONFUSED WITH THE GENUINE COMPANY:

Harbor Light Securities LLC
1039 Deep Woods Drive
Marion, North Carolina 28752
UNITED STATES OF AMERICA
Phone: +1 828 442 2765

Published on this site September 2010

- **Harris Atlantic Management Inc**

Suite 300-301 Paragon Towers
233 Needham, Newton MA 02464
UNITED STATES OF AMERICA

Published on this site March 2005

- **Harrison Lee Partners**

2 Priestley Road	82 Winglok Street
Basingstoke, Hampshire	HONG KONG
ENGLAND RG24 9NP	

Associated individuals: Charles McKenzie, UNITED STATES OF

AMERICA West, Rock Group Co
Published on this site July 2004, March 2005

- **Hartford Capital Management, S.A**

Panama	Costa Rica
Calle Eusebio A. Morales	Edificio Ortencia Ler Piso
Suite 1 - A, # E1 Cangrejo	1 Caudra al Sur 200 Este de
Ciudad De Panama	MacDonald's
REPUBLICA DE PANAMA	Sabana Sur, San Jose,
	COSTA RICA

Published on this site December 2005

- **Heinz Hokkaido International**

1585 Broadway
New York
NY 10016
UNITED STATES OF AMERICA
website: www.heinzhokkaido.net

Published on this site August 2008

- **Helvetica Trust & Fiduciary Group**

Bahnhofstrasse 71
Surich 8001
SWITZERLAND

Associated individual: Gerald Mulrine
Published on this site September 2002

- **Heritage Financial Inc**

103 Franklin Corner Road
Trenton
New Jersey 08648
UNITED STATES OF AMERICA

Published on this site March 2005

- **Heritage Mergers & Acquisitions**

Kamiyacho Mt Building
14th Floor, 4-3-20 Toranomom
Minato-ku
Tokyo 105-0001
JAPAN

Published on this site February 2006

- **Hing and Foster Partners**

30 Cecil Street
SINGAPORE 049712

Published on this site October 2005

- **Hobdell and Williamson**

2496/2494 Sand Hill Road, Suite 132
Menlo Park, California 94025
UNITED STATES OF AMERICA
Phone: +1 650 963 5727 / +1 650-763-8061
Fax: +1 650 240 3913
Website: www.hobdellwilliamson.com
Email: info@hobdellwilliamson.com

Published on this site March 2010

Associated individuals: Peter Gallagher, Graham Archer

- **JR Hoffman & Associates**

1 Place Ville Marie,
18th floor Montreal
Quebec, H3B 2E7
CANADA

Published on this site June 2008

- **Hoover Deplache Investments Inc.**

1500 Euclid Avenue,
730 Cleveland
OH 4415
UNITED STATES OF AMERICA

Published on this site April 2006

- **Hudson International**

Caracasbaaiweg 199	Room 2207
PO Box 6050	22/F, II Lippo Centre
Curacao	Central
Netherlands Antilles	HONG KONG
WEST INDIES	

Associated individuals: Thomas Jenkins, Steven Billings,
Jeffrey Kahn, Frank Edwards, Murray Skolsky.

Published on this site September 2000

- **HVC - Hypo Venture Capital**

Uraniastrasse 40
Zurich, 8001
SWITZERLAND

Tel: + 41 350 016 736 / + 41 435 080 372

Fax: + 41 447 326 623

Email: info@hypovc.com

Web: www.hypovc.com

Associated individual: Charlotte Gruber

Published on this site March 2011

- **Interactive Taxation Consultants / Interactive**

Taxation Consultants Limited

New York Office	Tokyo Office
Samson Building Level 8-22G	AIG Building, Level
501 Fifth Avenue	14 2-56
New York, NY 10017	1-1-3 Maranuchi,
UNITED STATES OF AMERICA	Chiyoda-Ku
Phone: +1 212 359 1682	Tokyo 100-0005
Fax: +1 212 954 5088	JAPAN
Website: www.taxationconsultants.com	Phone: +81 345
Email: info@taxationconsultants.com	500 562
infor@interactivetaxationconsultants.com	+81 345
	500 502
	Fax: +81 344 965
	042

Associated individuals: Peter Miller, Michael Rosen
Published on this site April 2010

- **International Asset Management**

CP Tower Level 23
313 Silom Road
Silom 10500
Bangkok
THAILAND

Associated individual: Richard Jennings
Published on this site February 2001

- **Innova Equity Corporation**

18th Floor
Bund Centre
22 Yanan Road East
Shanghai
CHINA

Published on this site January 2004

- **Interglobal Mergers & Acquisitions Inc.**

55 Park Plaza Drive
Daly City
San Francisco
California
UNITED STATES OF AMERICA

Associated individuals: Vanessa Stone, Gregory Handsfield
Published on this site March 2004

- **International Association of Transfer Agents**

30 Wall Street
New York, New York 10005
UNITED STATES OF AMERICA

Published on this site June 2007

- **InterTrade Asset Management**

1800 E 9th # 1400
Cleveland
Ohio 44114
UNITED STATES OF AMERICA

Associated individual: Maxwell Jones
Published on this site April 2004

- **ITB Limited**

Level 2
Via Maistra 2
St. Moritz
SWITZERLAND

Published on this site December 2003

- **J P Moreau Limited**

Level 14 Prudential Tower
30 Cecil Street
049712
SINGAPORE

Associated individuals: Lawrence Piper, Lily Chen
Published on this site May 2003

- **Jacobs & Kiplinger**

Zurich World Trade Centre
Leutschenbachstrasse 95
8050 Zurich
SWITZERLAND

Published on this site May 2002

- **Jefferson Clark Associates**

PO Box 58389
Seattle,
Washington State,
98138-1386
USA

Published on this site June 2008

- **Johansson Linqvist Associates**

Klarabergsviaduckten 70
Kungsbron 1 Box 70301
107 24 Stockholm
SWEDEN

Associated individuals: Albert Stuart, Gerald Montrose
Published on this site July 2005

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