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## Overseas firms that have called New Zealanders

Since August 2000 we have published the names of firms who have targeted people in New Zealand. These callers do not hold a New Zealand broker's licence or futures dealer authorisation and do not comply with New Zealand law.

They have not provided evidence that they are registered in their home jurisdiction to undertake this type of securities business.

This list is not exhaustive. If you are cold called from a firm that is not on the list that does not mean it is genuine. It is always unwise to send money overseas to someone who you know only from an unsolicited call.

For information on questions to ask before making any investment decision see [Test before you invest](#).

[A - E](#) | [F - J](#) | [K - O](#) | [P - U](#) | [V - Z](#)

- **Palladium Cathay Intermediaries**

1266 Nanjing West Road, Shanghai  
200040  
CHINA

Published on this site April 2008

- **Pan Euro Financial SA**

10, Cours de Rive  
CP3237 - CH1211  
Geneva  
SWITZERLAND

Associated individuals: Steven Evans, Allen Kaplan, David Mills, Mike Nyberg

Published on this site September 2003

- **Paradigm Resources, Ltd**

Mansell  
4555 Mansell Road  
Suite 625  
Atlanta  
GA 30022  
UNITED STATES OF AMERICA

Published on this site December 2005

- **Parker & Cramer Asset Management Inc**

First West Building  
200 First Avenue West Suite 400  
Seattle  
Washington 98119  
UNITED STATES OF AMERICA

Published on this site February 2005

- **Pacific Rim Wealth Management**

16 Westlands Road  
HONG KONG  
Tel: 852 9573 7972  
Fax: 852 3050 9026  
Email: info@pacificrimwealthmgmt.com  
Website: www.pacificrimwealthmgmt.com  
Associated Individuals: Judy Abbot, Ben Allan, Alan Jones,  
Tony Ortiz

Published on this site June 2009

- **Park Equity Ventures**

78 York Street  
London  
United Kingdom  
Tel: +4420 3026 3883  
Fax: + 4420 3014 9560  
Email: info@parkequityventures.com  
Website: www.parkequityventures.com

David Graham - associated individual  
Dr. Michael Bennett - associated individual  
Charles Davis - associated individual  
Ted James - associated individual  
George Nelson - associated individual

Published on this site December 2010

- **Parker Jennings**

2117 Buffalo Road  
Rochester  
New York 14624  
UNITED STATES OF AMERICA

Published on this site December 2002

- **PCA Management**

24 De Castro  
Road Town  
Tortola  
BRITISH VIRGIN ISLANDS

Published on this site December 2005

- **Pembridge Group**

Suite 2903  
29/F, Tower 1  
Admiralty Centre  
HONG KONG

Associated individual: Justin Wentworth  
Published on this site August 2001

- **Perpetual Trading Group**

1650 S. Dixie Highway Suite 100  
Boca Raton  
Florida 33432  
U.S.A

Published on this site November 2004

- **Pfeiffer Galland**

Plaza Mashill Level 21  
Jalan Jendral Sudirman Kav 25  
Jakarta  
INDONESIA

Published on this site October 2002

- **Pinnacle Global Corporation**

77 Lai Kwai Fong  
HONG KONG

Published on this site in November 2005

- **Pinnacle Group LLC**

1400 N. Dallas Parkway  
Suite 1200  
Dallas, Texas 75240  
UNITED STATES OF AMERICA

Published on this site in March 2006

- **Powell McDougall**

Harcourt Centre  
Harcourt Road  
Dublin 2  
REPUBLIC OF IRELAND

Published on this site March 2004

- **Preferred Global Partners**

Theaterstrasse 14  
Zurich 8001  
SWITZERLAND

Associated individual: Steven Marx  
Published on this site March 2005

- **Preferred Performance Group, Company Limited**

Richmond Building  
75 Sukhumvit Soi 26  
Bangkok  
THAILAND

Published on this site November 2001

- **The Prestige Group Ltd**

National Newark Building  
744 Broad Street  
18th Floor  
Newark, NJ 07102  
UNITED STATES OF AMERICA

Published on this site April 2007

- **The Prestige Perspective**

1 Harbour Road  
Wanchai  
HONG KONG

Published on this site October 2005

- **Premier eFinance**

Central Centre  
99 Queens Road  
Hong Kong SAR

Tel: + 852 8197 2553  
Fax: + 852 3015 6867  
Email: [info@premier-efinance.com](mailto:info@premier-efinance.com)  
Website: [www.premier-efinance.com](http://www.premier-efinance.com)

Associated individual: Susan Crawford  
Published on this site March 2011

- **Price & Partners Consultants**

5-7C Duke Wellington House  
14-21 Wellington Street, Central District  
Hong Kong

Tel: + 852 3 6786714 / + 852 3 1750956  
Email: [b.scott@priceandpartnersconsultants.com](mailto:b.scott@priceandpartnersconsultants.com)  
[legal@priceandpartnersconsultants.com](mailto:legal@priceandpartnersconsultants.com)  
Website: [www.priceandpartnersconsultants.com](http://www.priceandpartnersconsultants.com)

Associated individual: Brian Scott

Published on this site April 2011

- **Prime Capital Advisors**

Nicolau Pentadromos Center  
25 Ayias Zonis Street  
Suite 1100 Limassol  
CYPRUS

Associated individual: Wendell Martin  
Published on this site November 2004

- **Primesolutions Securities Inc**

The Primesolutions Securities Inc. listed has stolen the identity of a legitimate firm in the United States. The legitimate Primesolutions Securities Inc. can be contacted by phone +1 440 230 0400 or by fax on +1 440 230 9956.

Published on this site August 2007

- **Pro Capital Asset Management**

25 Aphrodite Street  
PO Box 26025  
166 Nicosia  
CYPRUS

Associated individual: Scott Meadows  
Published on this site December 2007

- **Profidex Ltd**

Levels 9-11, AIG Building  
1-1-3 Marunouchi  
Chiyoda-Ku  
Tokyo 100-0005  
JAPAN

Published on this site April 2006

- **Quantum Capital Corporation**

Hardturmstrasse 101  
Zurich, CH, 8005  
SWITZERLAND

Published on this site November 2005

- **Quantum Global Equities Inc.**

19th St NW  
Washington, District of Columbia 20036  
USA  
Tel: + 1 202 3910 955  
Email: info@quantumglobalequitiesinc.com

Associated individual: James Forbes

Published on this site March 2011

- **Radius Resources**

95 South Street  
New York, NY 10038  
UNITED STATES OF AMERICA

Published on this site May 2007

- **Regal Group International**

35/F Central Plaza  
18 Harbour Road  
HONG KONG SAR

Phone: +852 3175 0983  
Fax: +852 3678 6713  
Email: info@regalgroupinternational.com  
Website: www.regalgroupinternational.com

- **Remington Consultancy Limited**

1245 Pleasant View Boulevard  
Scottsdale Arizona 85261  
UNITED STATES OF AMERICA

Associated individuals: Richard Remington, George Mason,  
John Richmonds

Published on this site September 2001

- **Richard Watson Associates**

4021 27th Street, 2nd Floor  
Long Island City  
New York 11101  
UNITED STATES OF AMERICA

Published on this site November 2003

- **Richardson Resources Inc.**

Carl-Zeiss-Str. 14-18                      81 Pedder Street  
65520 Bad Camberg                      HONG KONG  
GERMANY

Associated individual: William Ford

Published on this site 17 August 2004

- **Richmond Capital Management**

21/F Seoul Finance Centre  
Taepyeongno 1-ga  
Jung-gu, Seoul, Korea 100-768  
Phone: +82 70 7894 5584  
Fax: +82 2 3782 4820  
E-mail: info@richmondconsultancy.com  
Website: www.richmondconsultancy.com

Not to be confused with the genuine:  
Richmond Capital Management, Inc. 10800 Midlothian  
Turnpike, Suite 217, Richmond, VA USA 23235, Tel: (804)  
379-8280, Fax: (804) 379-9362

Associated individuals: Hamilton Trading Limited

Published on this site June 2008, updated November 2008

- **RJL International Ltd**

625 Mt. Auburn Street  
Cambridge, MA 02138  
UNITED STATES OF AMERICA

Associated individuals: Vincent Gentile, Mike Eastwood, Jerry  
Bell

Published on this site 15 April 2004

- **Rosenberg Smith & Partners**

2000 Steeles Avenue West, Suite 200  
Concord  
Ontario  
CANADA

Associated individuals: Norman Rosenberg and Morry Nosak

Published on this site July 2004

- **Rosenthal International**

1370 Broadway  
New York  
NY 10018  
UNITED STATES OF AMERICA

Associated individuals: Jonathon Nagle and Michael Ryder

Published on this site 17 August 2004

- **Royal Acquisitions Inc**

96 West Park Place  
Newark  
Delaware 19711  
UNITED STATES OF AMERICA

Associated individuals: Associated individuals: Anthony  
Hutchins, Eric Collins

Published on this site 28 September 2004

- **Rutherford Acquisitions**

7400 Metro Blvd  
Minneapolis  
Minnesota MN 55439

## UNITED STATES OF AMERICA

Published on this site December 2002

- **Safeguard Equity Group**

88 Queensway, Central  
HONG KONG

Phone: +852 9573 7990

Fax: +852 3016 6330

E-mail: info@safeguardequity.com

Website: www.safeguardequity.com

Associated individuals: Julia Lopez, Steve Edwards, Laura Bates

Published on this site November 2008

- **Salem Asset Management**

1501 Frederica Street, Duluth  
Minnesota 42101  
UNITED STATES OF AMERICA

Associated individual: David Pearson

Published on this site 17 August 2004

- **Scudder, Stevens & Clark**

60 St. Mary Ave  
London  
EC3A 8JQ  
UNITED KINGDOM

Published on this site September 2003

- **Schwartz Leiberman Associates**

PO Box 16425  
Seattle  
Washington, 97271  
United States of America

Tel: + 1 206 350 4019 / + 1 206 202 6202

Fax: + 1 206 299 9239 / + 1 206 339 4812

Email: info@schwartzleiberman.com

Website: www.schwartzleiberman.com

Associated individual: John Campbell

Posted on this site November 2010

- **Seago South**

One Harbour Place  
1901 S Harbor City Blvd  
Melbourne, FL 32901  
UNITED STATES OF AMERICA



Associated individuals: John Joust and Stephen J. Seago  
Published on this site November 2007

- **Shanghai M&A International**

3501 Citic Square  
1168 Nanjing Xi Lu  
Shanghai 200041  
CHINA

Published on this site April 2006

- **Shin Kong Wedbush**

6F, No.6, Sec.4, Xinyi Rd  
Taipei  
TAIWAN

Phone: + 886 2 8758 2905

Fax: + 886 2 6602 1275

Email: info@shinkongwedbush.com

Web: www.shinkongwedbush.com

Published on this site October 2010

- **Sherman Brothers**

Unit 1911, 19th Floor  
One Pacific Place  
140 Sukhumvit Road  
Klongtoey District  
Bangkok 10110  
THAILAND

Published on this site September 2001

- **Schiller Gates Incorporated**

1695 Broadway  
New York, NY 10018 - 5009  
UNITED STATES OF AMERICA

Tel: +1 646 912 6185

Fax: + 1 646 861 4847

Email: xxxxx@xxxxxxxxxxxxxxxxxxx.xxx

Website: www.schiller-gates.com

Associated individuals: Andrew Steve Johnson John Marshall

Published on this site October 2010

- **Sigama Capital Management**

14th Floor, Kamiya-cho Mori Bldg  
4-3-20 Toranomom  
Minato, Tokio  
JAPAN

- **Sigama Capital Management**

Level 18  
One International Finance Centre  
1 Harbour View Street  
Central  
HONG KONG

Level 14/1401  
One Pacific Place  
140 Sukhumvit Road  
Bangkok  
THAILAND

Associated individuals: David Burgess and Anne Davies  
Published on this site August 2000

- **Silverstone Management S.L.**

Avda, Diagonal 618  
Planta 9  
0821  
Barcelona  
SPAIN

Associated individual: Matthew Thompson  
Published on this site July 2005

- **Simmons, Blake & Fritch**

27 Floor Jefferson Towers  
9823 Hover Street  
Maverick Avenue  
St Paul, Minnesota  
UNITED STATES OF AMERICA

Associated individuals: Jennifer Stone, Kate Jones, Timothy Croft  
Published on this site September 2001

- **Simon Eastwood Asset Management**

15/5 Cerulean Tower  
26-1 Sakuragaoka-cho  
Shibuya-ku, Tokyo 150 8512

US Office  
3330 Cumberland Blvd.,  
Atlanta, GA 30339

China Office  
989 Changle Road  
Shanghai 200031  
Published on this site October 2005

- **Simpson Venture Limited**

ABC Centre, 500 North Michigan Ave.  
300, Chicago  
UNITED STATES OF AMERICA

Associated individual: Jason Edwards  
Published on this site July 2005

- **Sino Intermediaries**

Level 37  
Taipei 101 Tower  
7 Xinyi Road  
Section 5  
110 Taipei  
TAIWAN

Published on this site May 2006

- **Smith & Henderson Associates Inc**

|                         |                       |
|-------------------------|-----------------------|
| Level 21, HSBC Tower    | Serrano 240 5th Floor |
| 101 Yin Cheng East Road | 28016 Madrid          |
| Pudong                  | SPAIN                 |
| Shanghai                |                       |
| CHINA                   |                       |

Published on this site  
November 2001

- **Smith Davidson Group Inc**

4-2880 queen St. East  
Suite 304  
Brampton  
ON L6S 6H4  
CANADA

Published on this site February 2006

- **Smith Klein Venture Limited**

3705 Bank of America Tower  
Suite 582  
12 Harcourt Road  
HONG KONG

Associated individual: Castor Hill  
Published on this site June 2005

- **South East Asia Trading**

12th Floor  
253 Soi Sukhumvit  
21 Asoke Road  
Bangkok 10110  
THAILAND

Associated individuals: Jamie Nettleship, Andrew Hewit,  
Michelle Tetreaut.

Published on this site August 2001

- **Spartan Capital Management**

412 Park Avenue, 18th Floor  
New York, NY 10022  
USA

Phone: +1 646 861 7887  
Fax: +1 646 224 4890  
Email: info@spartancam.com  
Website: www.spartancam.com

- **Stamford - Financial**

Level 14, Hibiyo Central Building  
1-2-9 Nishi Shimbashi  
Minoto-ku  
Tokyo  
JAPAN

Published on this site November 2002

- **Standard United Corporation**

8 Harbour Road  
Wanchai  
HONG KONG  
Phone: +852 9575 4213  
Fax: +852 3015 9768  
E:mail info@standardunited.com  
website: www.standardunited.com  
Associated individuals: Isabel Green, Ray Chavez

Published on this site August 2008

- **Sterling Capital Corp.**

23rd Floor, 6750 Ayala Ave  
Makati City, Metro Manila  
1226 THE PHILIPPINES

Associated individuals: Steven Thompson, John Davis,  
Michael Cayhill, Jane Alexander  
Published on this site November 2001

- **Sun International Mergers & Acquisitions**

1661 International Drive  
Memphis  
TN 38120-1431  
UNITED STATES OF AMERICA

Published on this site February 2006

- **Taylor Atlantic Ltd**

Level 4  
Tower 2  
Petronas Twin Towers  
Kuala Lumpur 50088  
MALAYSIA

Associated individual: John Sinclair  
Published on this site June 2001

- **Tech-Link International Ltd**

Shinjuku Park Tower N30  
Nishishinjuku 3-7-1  
Shinjuju-ku  
Tokyo  
JAPAN 163-030

Associated individual: Frank Vacarro  
Published on this site April 2004

- **Thomas Reiss & Partners**

Dahnstr. 4, T7 OC, D-13403  
Berlin  
GERMANY

Published on this site February 2006

- **Titan Capital Corporation**

Published on this site April 2008

- **Tradex Corporation**

Unit 811-813 Tower 1  
Junefield Plaza No.6 Xuanwumenwai Street  
Xuanwu District  
Beijing  
CHINA

Published on this site February 2007

- **Transglobal Consultants Limited**

Pacific Place Two Building Suite 1707  
17th Floor, 142 Sukhumvit Road, Klongtoey  
Bangkok 10110  
THAILAND

Associated individuals: Paul Jenson, Josh Kaytor, Daniel  
Bailey, Robert Ellis and Jeremy Blake.  
Published on this site August 2000

- **Trans-National Securities and Trust SA**

Birmensdorferstrasse 140,  
CH-8003  
Zurich  
SWITZERLAND

Associated individual: John Montana  
Published on this site December 2000

- **Trident International**

Suite 2207

88 Corporate Centre  
141 Valero Street  
Salcedo Village  
Makati  
Manila  
PHILIPPINES

Associated individual : Richard Bell  
Published on this site October 2000

- **Turner Goodman Group**

1650 Madison Avenue, 7th Floor  
New York 11002-311  
UNITED STATES OF AMERICA

Associated individual: Jeremy Collins  
Published on this site 17 August 2004

- **Tzu, Waite & Associates**

|                   |                      |
|-------------------|----------------------|
| 105 Cecil Street  | 9 Raffles Place      |
| 06-01 The Octagon | 27-01 Republic Place |
| SINGAPORE 069534  | SINGAPORE 048619     |

Associated individuals: Jonathan Charles Waite, Jenny Su  
Published on this site September 2003

- **Union Transfer Inc.**

469 7th Avenue  
New York, NY 10018  
UNITED STATES OF AMERICA  
Phone: 1 212 401 6220  
Fax: 1 206 600 6553  
Email: info@uniontransferinc.com  
Website: www.uniontransferinc.com

Associated individual: Thomas Dickson  
Published on this site August 2009

- **United Capital Management Inc**

1680 Ramon Magsaysay Centre  
Roxas Boulevard  
Manila  
PHILIPPINES

Associated individual : Richard Austin  
Published on this site October 2009

- **United Capital Partners**

21F Marajo Tower,  
312, 26th Street, Corner 4th Avenue  
Fort Bonifacio Global City  
Manila  
PHILIPPINES

Phone: 632 856 1543 ext 570  
Fax: 632 856 7220  
Email: info@unitedcapital-partners.com  
Web: www.unitedcapital-partners.com

Associated individual: Richard G Burden email:  
richard@unitedcapital-partners.com  
Published on this site October 2010

- **US Department of the Treasury**

1500 Pennsylvania Avenue, NW  
Washington DC, 20220  
UNITED STATES OF AMERICA  
Email: xxxxxxxx@xxxxxxxxxxx.xxx  
Telephone: +1 646 395 1749  
Fax: +1 646 786 4658

Associated person: Monique Wilson  
Published on this site March 2011

Note: Not to be confused with the genuine US Department of the Treasury. The e-mail address, [treasgov@consultant.com](mailto:treasgov@consultant.com), and phone and fax numbers shown are fraudulent and have been established to deceive investors.

- **US Stock Transfers Bureau**

101 Federal Street  
Boston, MA  
UNITED STATES OF AMERICA  
Email: compliance@ustb.us, info@ustb.us  
Telephone: +1 617 517 0339  
Fax: +1 617 249 1763

Associated person: Alan Schindler  
Published on this site February 2001

- **Universal Consolidated**

55 Wall Street  
New York, NY 10005  
UNITED STATES OF AMERICA

Phone: +1 212 401 6180  
Fax: +1 212 401 6181  
Email: info@universalcsld.com  
Website: www.universalcsld.com

Associated individuals: Nicole Valdez, Cain Martin, Chris March, Olivia Rios, William Waldon  
Published on this site June 2010

- **US - Internal Revenue Service**

1220 S.W. Third Avenue  
Portland  
Oregon 97204  
USA

Toll Free Hotline Number: + 1 8888 298 244  
Email: [usir@irs.gov](mailto:usir@irs.gov)

Associated individual: David Frank

Note: the above entity is an impersonation of the genuine US  
Internal Revenue Service  
Published on this site December 2010

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