

- [About](#)
- [Publications](#)
- [Exemptions](#)
- [Notices](#)
- [What's new?](#)
- [International](#)
- [Speeches](#)
- [Information for investors](#)
- [Financial adviser regulation](#)
- [Contact us](#)
- [Site map](#)
- [Home](#)

Overseas firms that have called New Zealanders

Since August 2000 we have published the names of firms who have targeted people in New Zealand. These callers do not hold a New Zealand broker's licence or futures dealer authorisation and do not comply with New Zealand law.

They have not provided evidence that they are registered in their home jurisdiction to undertake this type of securities business.

This list is not exhaustive. If you are cold called from a firm that is not on the list that does not mean it is genuine. It is always unwise to send money overseas to someone who you know only from an unsolicited call.

For information on questions to ask before making any investment decision see [Test before you invest](#).

[A - E](#) | [F - J](#) | [K - O](#) | [P - U](#) | [V - Z](#)

- **Kearns Investments Inc**

Level 9 AIG Building 1-1-3 Marunouchi Chiyoda-Ku Tokyo 100-0005 JAPAN	Level 21 HSBC Tower 101 Yin Cheng East Road Pudong Shanghai 200120 CHINA
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Level 36
Menara Citibank
165 Jalan Ampang
50450 Kuala Lumpur
MALAYSIA

Associated individuals: Michelle Von Wald, Cyrus Fahad, Tony Sacks and Michael Stuart.

Published on this site October 2001

- **Kempton Fitzgerald Limited**

Empire House
175 Picadilly
Mayfair
London W1J 9TB
UNITED KINGDOM

Published on this site November 2003

- **Kennedy Investments Limited**

Edificio Centro Empresarial
Oficina 403 Torre II
5a Avenida 15-45 Zona 10

Guatemala City
GUATEMALA 01010

Published on this site June
2003

- **Kensington Group Inc**

30th Floor, Shinjuku Park Tower
3-7-1 Nishi-shinjuku
Shinjuku
Tokio
JAPAN

- **The Kensington Group**

23F M Thai Tower All Seasons Place 87 Wireless Road Phatumwan Bangkok THAILAND	18F, One International Finance Centre 1 Harbour View Street Central HONG KONG
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Published on this site October
2000

- **Kimberly Capital Corporation**

14 George Road
Glen Rock NJ 07452
UNITED STATES OF AMERICA

Associated individuals: Laurence Smith, Kamal Pateal Smith,
Shamon Peris

Telephone: 201 839 9517
Fax: 201 604 7444
E-mail: contact@kimberlycapital.com
website: www.kimberlycapital.com

Kimberly Capital Corporation should not confused with the legitimate Kimberly Capital Corporation incorporated in the US. It has stolen the name and address of the genuine firm. The genuine Kimberly Capital Corporation does not have a website and has different phone and fax numbers.

Published on this site February 2009

- **KLM Investment Services Limited**

25 Dowgate Hill
Cannon Bridge
London
UNITED KINGDOM

Associated individuals: Adam Lewis and Timothy Husk
Published on this site August 2001

- **Knobias Alliance Group**

Kornhausstrasse 3
CH 9000 St. Gallen
SWITZERLAND

Associated individual: Jack Quinn
Published on this site January 2004

- **Liberty Assets Inc.**

3306 South Liberty Street
New Orleans
LA 70115
UNITED STATES OF AMERICA

Associated individuals: Eli Green, George Franks, George
Buckingham and Steven Givens
Published on this site 28 September 2004

- **Liberty Capital International Limited**

PO Box W829
Corn Alley
St John's
ANTIGUA

Published on this site June 2001

- **Liberty First Advisory Services, S.A.**

Paris, 101-107
08029 Barcelona
SPAIN

Associated individual: Randy Perry
Published on this site April 2004

- **Lincoln Partners M&A Inc.**

415 Madison Avenue
New York City, New York
10017 UNITED STATES OF AMERICA

Published on this site June 2007

- **Limbaugh and York Associates**

1200 McGill College Avenue
Suite 1105 Execu-Centre
Montreal, QC H3B 4G7
CANADA

Phone: +1 514 906 1732
Fax: +1 514 221 3865
E-mail: info@limbaughandyorkassociates.com
Website: www.limbaughandassociates.com

Published on this site October 2008

- **Livingston Asset Management**

14 Ron
1204 Geneva
SWITZERLAND

Associated individuals: Ingo Janneau, Marc Philips, Aron Mozes, Jonathon Livingston, Charles Bond.
Published on this site June 2002

- **Lloyds TSB**

Email: customer -service@lloydstsb.co.uk
Note: Only this particular Lloyds email address is identified as fraudulent.

Published on this site June 2008

- **Lombard Grand Incorporated**

Level 21 Centennial Tower
3 Temasek Avenue
SINGAPORE 039190

Published on this site March 2004

- **Loyal Relations, Ltd.**

1805 Wheelock House
20 Pedder Street,
Central, HONG KONG

Published on this site May 2007

- **Lloyds & Barclays Inc.**

Suite 3304, Empire States Building
350 Fifth Avenue
New York 10118
UNITED STATES OF AMERICA

Associated individual: Sonny Danson
Published on this site 28 September 2004

- **Lynx Commodities**

Asia House
31-33 Lime Street
London EC3M 7HT
UNITED KINGDOM

Associated individual: James Wright
Published on this site July 2005

- **Lynx Mackenzie Incorporated**

415 Madison Avenue
New York, NY10017

USA

Website: www.lynxmackenzie.com

Published on this site January 2009

- **Macintosh & Sons Ltd**

8 Hampshire Lane

3rd Floor

Salisbury

Wiltshire

SP2 7TU

UNITED KINGDOM

Associated individual: Edward D. Macintosh II

Published on this site October 2003

- **The Madison Group**

23rd Floor

M.Thai Tower

All Seasons Place

87 Wireless Road

Phatumwan

Bangkok 10330

THAILAND

Associated individuals: Glen Bradshaw, Frank Harris, John Jacobsen

Published on this site September 2000

- **Magna Capital Research**

702 Wheelok House

20 Pedder Street

Central Hong Kong

HONG KONG

Published on this site March 2006

- **Magnusson Edward**

17/F Cheung Kong Center

2 Queen's Road Central

HONG KONG

Published on this site January 2006

- **Manhattan Pacific Group Limited**

The World Trade Centre

Leutschenbachstrasse 95

Zurich 8050

SWITZERLAND

Associated individual: David Carlton

Published on this site March 2005

- **Manhattan Securities Corporation**

166 Millbrook Circle
Norwood
NJ 07648
UNITED STATES OF AMERICA

Published on this site May 2006

- **Manning Capital, Inc**

384 - 386 Greenwich Street
NY 10013
UNITED STATES OF AMERICA

Published on this site April 2008

- **Michael J Marrin**

Attorney-at-Law
44 Court Street
Temple Bar Building
Suite 802
Brooklyn
New York
NY 11201
UNITED STATES OF AMERICA

Not to confused with Michael J Marrin, Attorney-at-Law, 65 Southard Avenue, Rockville Center, New NY 11570, United States of America.

Published on this site June 2008

- **Marlborough Acquisitions Inc**

4600 South Ulster Street
Denver, Colorado 80237-2882
UNITED STATES OF AMERICA

[Published on this site March 2001](#)

- **Mason McHale Portfolio Management**

19/F Hing Wai Building
36 Queen's Road
CENTRAL HONG KONG

Published on this site 04 June 2004

- **Master Bettman**

548 8 Avenue	Level 25, Bank of China
New York	Tower
UNITED STATES OF AMERICA	1 Garden Road
	HONG KONG

Published on this site April 2007

- **Mayer Wegner Mergers & Acquisitions**

Schwarzwaldstr, 88PLZ
Stadt: 60528
Frankfurt
GERMANY

Published on this site October 2005

- **Mercantile International Securities**

100 Summer Street
Boston, MA 02110
UNITED STATES OF AMERICA

Associated individual: William Blair
Published on this site 17 August 2004

- **Merit Capital Group**

38 Russell Street
28/Floor Soundwill Plaza
Causeway Bay
HONG KONG

Published on this site July 2003

- **Millennium Exchange**

6919 Viejean Ave
Van Nuys
CA 914406
UNITED STATES

Published on this site May 2006

- **Millenium Financial Group**

23rd Floor
Tower 1, The Enterprise Center
6766 Ayala Avenue
Makati City 1200
PHILIPPINES

Associated individuals: Richard Arrowsmith, Gary Artzt, G. Edward Buell, Ben Cattaneo, Nica Gelera, Ray Gordon, Jon Jones, Jon Lopresti, Matthew McAllister, George Mitchell, Lenny Mazzola, Joseph Paterno, Ken Sheffield, Romson Velez, and Robert Velis.

Published on this site March 2001

- **Miller Bosses Acquisitions Inc.**

4100 Lyndale Av
Minneapolis
MN 55409
UNITED STATES OF AMERICA

Associated individual: Charles Davenport

Published on this site 28 September 2004

- **Monahan & Monahan Ltd**

339 Florida Boulevard
Baton Rouge
Louisiana 70806
UNITED STATES OF AMERICA

Associated individual: Stephen P Monahan
Published on this site November 2004

- **Montgomery,Smith and Cooper**

Phone: +1 212 710 1372
Fax: +1 718 725 8048

Published on this site August 2008

- **Morgan International Investment Group Inc**

6, Circuit de la Foire Internationale
BP 2507, L-1025 Luxembourg
GRAND-DUCHE DE LUXEMBOURG

Associated individuals: Thomas Carpenter, Simon Richardson, Diane Rosenberg
Published on this site November 2001

- **Morgan Pacific**

138 Silom Road
Boonmitr Building 10th Floor
Suriyawongse
Bangrak
Bangkok 10500
THAILAND

Associated individual: Graham Thompson
Published on this site June 2001

- **Morgan Price Limited**

Suite 2/83 Walker Street North Sydney NSW 2060 AUSTRALIA	Level 21, 201 Miller Street North Sydney NSW 2060 AUSTRALIA	Registered Office Unit 81 6 Greenknowe Avenue Elizabeth Bay NSW 2011 AUSTRALIA
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Associated individuals: Robbie Joseph, president, Douglas Pestano, director/secretary, Paul Cottingham Sohn, director.
Published on this site March 2003

- **Morrison Cross Financial Investments Limited**

Ave. Manuel Espinosa Batista
International Business Center

Floor 11
PO Box 0833-0118
Panama
REPUBLIC OF PANAMA

Associated individuals: Kenneth Elliot, Max Peters
Published on this site June 2001

- **Muller and Sons Securities Management Inc**

Unit 114 Prince Plaza 1
106 Legaspi Street
Legaspi Village
Makati City
PHILIPPINES

Associated individuals: Ben Smit, Michael Martin, Stephanie
Victoire and Maurie Wise
Published on this site August 2000

- **Muller Schmidt Associates**

1500 W Lake St
MN 55408
UNITED STATES OF AMERICA

Published on this site December 2005

- **Nakamoto International Group**

Wakamatsu Building
3-3-6 Nihonbashi Hon-cho
Chuo-ku
TOKYO 103-0023

Published on this site June 2006

- **Nasdaq Brokercheck**

Not to be confused with the genuine Nasdaq Stock Exchange
(www.nasdaq.com)

Published on this site June 2008

- **Nationwide Mergers & Acquisitions Inc**

3178 Johnson Ferry Road Northeast
Atlanta, GA 30303
UNITED STATES OF AMERICA

Published on this site February 2005

- **New Alliance Securities Inc.**

1370 3rd Avenue
New York, NY
UNITED STATES OF AMERICA

Tel: + 1 646 360 1715
Fax: + 1 646 224 9826
Email: info@newalliancesecurities.com

Associated individual: Jose Luis Barria
Published on this site April 2011

- **New York Income Partners II LLC**

34445 Winton Place
Rochester NY 14623
UNITED STATES OF AMERICA
Phone: +1 866 365 0718/ +1 585 492 8900
Fax: +1 202 262 1105
E-mail: info@nyipartners.com
Not to be confused with the genuine New York Income
Partners II, LLC

Published on this site January 2010

- **Newmann Hamshire GmbH**

21st Floor Mainzer Landstrasse 47
60329 Frankfurt am Main
GERMANY

Published on this site November 2005

- **New Market Corporation**

Yurakucho 2-7-1
Chiyoda-Ku
Tokyo
JAPAN
Website: www.newmarketcorp.com
Email: info@newmarketcorp.com
Phone: +813 4580 2171
Fax: +813 4580 2197

Associated individual: Dave Chavez
Published on this site April 2010

- **Newport Pacific Securities & Management Inc**

Unit 404
Greenbelt Mansion
106 Pera Street
Legaspi Village
Makati
Manila
PHILIPPINES

Associated individuals: Jack Miller, Thomas Massino, Johnny
Morgan, Peter Lynch and Ron James
Published on this site October 2000

- **Noble International Consulting Inc**

PO Box 330
Ste 505
123 Queen Street
Toronto
Ontario M5H 2M9
CANADA

Published on this site August 2005

- **Northpoint Portfolio Management Ltd** (*see also earlier listing below*)

Chong Hing Finance Centre
Level 12
Nanjing West Road
2003 Shanghai
CHINA
Phone: +86 138 1655 0144
Email: clientservices@northppm.com
Website: www.northpmm.com

Associated individuals: Eric Demitrios, Richard Forsyth

Published on this site October 2010

- **North Point Asset Management Ltd**

North Point Investment Management
Chongqing Finance Center
Level 12, 288 Nanjing XI Road
Huangpu district 200003
Shanghai,
CHINA
Phone: +86 138 165 501 44
Fax: +86 216 163 8213
Website: www.northpointassetmanagement.com

Associated individual: Chris Johnson

Published on this site August 2009

- **Norwich Capital Mutual Funds Limited**

Valero Towers, Penthouse D
122 Valero Street
Salcedo Village
Makati City
PHILIPPINES

Associated individual: Chris Jones

Published on this site August 2001

- **Norwich International Trading Inc**

W910 West Tower
Philippine Stock Exchange Centre
Exchange Road

Oritgas Centre
Pasig City
Metro Manila
PHILIPPINES

Associated individuals: Kathleen Edward and Kevin Walker
Published on this site November 2001

- **NT Financial Services**

808 Broadway, #1
New York, NY 10003
UNITED STATES OF AMERICA

Tel: +1 212 247 1798
Fax: + 1 212 937 3669
Email: info@ntfinancialservices.net
Website: www.ntfinancialservices.net

Associated individuals: Alyson White
Published on this site October 2010

- **Nuventis Corporate Services Group**

18F Bund Center
222 Yunan Road East,
Shanghai 2000002
CHINA

Published on this site December 2005

- **Oakmont Financial Mergers**

130 Bellevue Avenue
Newport, RI 02840
UNITED STATES OF AMERICA

Published on this site February 2005

- **Oasis Capital Inc.**

542 Mt. Pleasant Road, Suite 105
Toronto, Ontario
CANADA M4S 2M7

Associated individuals: Timothy (Ted) E Manning, Judith M
Switzer, James K de Roux
Published on this site November 2003

- **Ocean Blue Distribution Limited**

380 Bloor St. W.
Toronto
Ontario
M7J-3M5
CANADA

Associated individuals: Cindy Tan and William W. Baynes
Published on this site July 2003

- **Onyx Acquisitions**

London ENGLAND

Published on this site February 2006

- **Oppenheimer International**

No. 4 Royal Mint Court
London EC3N 4HJ
ENGLAND

Associated individuals: Jess Bunting, Carsten Straber, Patrick Seagraves

Published on this site May 2003

- **Oppenheimer Securities Inc.**

1150 Silverado Street
La Jolla
California
UNITED STATES OF AMERICA

Published on this site May 2007

- **Overseas Management Group Inc.**

1054-356 Centre Street
Toronto, Ontario
Canada

Associated individual: Ricardo Martins

Published on this site June 2005

- **Oxley Mergers and Acquisitions**

717 Texas Avenue
Houston
TX 77002-2761
UNITED STATES OF AMERICA

Published on this site July 2006

[A - E](#) | [F - J](#) | [K - O](#) | [P - U](#) | [V - Z](#)

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