

21 October 2020

Ailsa Clare  
Chief Executive  
Auckland DHB  
Private Bag 92189  
Auckland Mail Centre  
Auckland 1142

Kia Ora Ailsa

### **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The Auckland District Health Board (“the DHB”) and the Minister of Health (the “Minister”) entered into a Crown Funding Agreement (“the Principal Agreement”) which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule (“Schedule”) herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

#### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding Auckland District Health Board**

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### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.

1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the reduction in routine consultations, with flow on impacts for workforce and business sustainability.

1.4 This variation confirms \$4,248,183.00 excluding GST (“the Funding”) to your DHB to support general practices’ response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

**2. Funding Detail**

2.1 The components of the funding package are summarised below.

**3. Service Description and Requirements**

3.1 During the term of this Schedule, the DHB provides the following services (“the Services”):

3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.

3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to primary health organisations (“PHOs”) being completed the following day. This one-off funding is based on the number of general practice enrolled service users.

3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrolee (“ESU”) - Non-rural practice	\$4.69 per ESU
Funding per enrolee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the Funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.

3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service (“NES”) enrolment information (see Appendix One).

3.1.5.2. PHOs were required to pass on the Funding to general practices.



3.1.5.3. The allocation for each general practice was calculated on an enrolment basis using the NES snapshot enrolment information for 1 April 2020.

**4. The purchase code that applies to this service is as follows:**

PU Code	PU Description	PU Definition	PU Measure
PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service

**5. Assumptions**

5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
- 5.1.2. The Funding was intended to contribute to general practice business sustainability resulting from the sudden move to Alert Level Four. It was one-off funding to cover the lockdown period. The funding recognised that general practices had to make sudden changes to their models of care and were experiencing changes in demand, cost and revenue. The Ministry of Health (“the Ministry”) worked with DHBs to address the issues that arose as a result of the Level Four lockdown. This included understanding more about the drivers of the additional costs and some medium to long-term mitigations.
- 5.1.3. Neither DHBs nor PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

**6. Term**

6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

**7. Funding**

7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry’s obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment to PHOs the day after DHBs received the funding on 8 April 2020.

**8. Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:**

8.1. The Ministry provided the cash payment to the DHBs through the Ministry's non-devolved cash profile process on 8 April 2020.

**9. Reporting**

9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

**10. Variation**

10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

Released under the Official Information Act 1982

**Appendix One:**

Support for general practice business sustainability - Detailed Funding Allocation



Released

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**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

Jess Smaling

\_\_\_\_\_  
**Name**

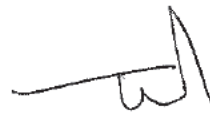
26 November 2020

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**Date**

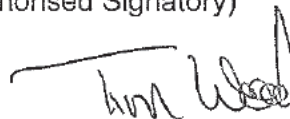
**AND**

**AUCKLAND DISTRICT HEALTH BOARD**

a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)



\_\_\_\_\_  
**Name**

17/11/20

\_\_\_\_\_  
**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.

Released under the Official Information Act 1982

22 October 2020

Simon Everitt  
Chief Executive  
Bay of Plenty District Health Board  
Cameron Road  
Gate Pa  
Tauranga 3112

Kia Ora Simon

#### **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The Bay of Plenty District Health Board ("the DHB") and the Minister of Health (the "Minister") entered into a Crown Funding Agreement ("the Principal Agreement") which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule ("Schedule") herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

#### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding Bay of Plenty District Health Board**

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#### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.

- 1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the reduction in routine consultations, with flow on impacts for workforce and business sustainability.
- 1.4 This variation confirms \$1,112,876.00 excluding GST (“the Funding”) to your DHB to support general practices’ response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

**2. Funding Detail**

2.1 The components of the funding package are summarised below.

**3. Service Description and Requirements**

- 3.1 During the term of this Schedule, the DHB provides the following services (“the Services”):
  - 3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.
  - 3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to primary health organisations (“PHOs”) being completed the following day. This one-off funding is based on the number of general practice enrolled service users.
  - 3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrollee (“ESU”) - Non-rural practice	\$4.69 per ESU
Funding per enrollee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

- 3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the Funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.
  - 3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service (“NES”) enrolment information (see Appendix One).
  - 3.1.5.2. PHOs were required to pass on the Funding to general practices.



3.1.5.3. The allocation for each general practice was calculated on an enrolment basis using the NES snapshot enrolment information for 1 April 2020.

**4. The purchase code that applies to this service is as follows:**

PU Code	PU Description	PU Definition	PU Measure
PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service

**5. Assumptions**

5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
- 5.1.2. The Funding was intended to contribute to general practice business sustainability resulting from the sudden move to Alert Level Four. It was one-off funding to cover the lockdown period. The funding recognised that general practices had to make sudden changes to their models of care and were experiencing changes in demand, cost and revenue. The Ministry of Health (“the Ministry”) worked with DHBs to address the issues that arose as a result of the Level Four lockdown. This included understanding more about the drivers of the additional costs and some medium to long-term mitigations.
- 5.1.3. Neither DHBs nor PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

**6. Term**

6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

**7. Funding**

7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry's obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment to PHOs the day after DHBs received the funding on 8 April 2020.

**8. Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:**

8.1. The Ministry provided the cash payment to the DHBs through the Ministry's non-devolved cash profile process on 8 April 2020.

**9. Reporting**

9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

**10. Variation**

10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

Released under the Official Information Act 1982

**Appendix One:**

Support for general practice business sustainability - Detailed Funding Allocation



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Released

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tion Act 1982

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**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

Jess Smaling

\_\_\_\_\_  
**Name**

29 October 2020

\_\_\_\_\_  
**Date**

**AND**

**BAY OF PLENTY DISTRICT HEALTH BOARD**

a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

**Mike Agnew**  
Acting General Manager

\_\_\_\_\_  
**Name** Planning and Funding  
BOPDHB

22/10/20

\_\_\_\_\_  
**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.

Released under the Official Information Act 1982



Dr Andrew Brant  
Acting Chief Executive  
Canterbury District Health Board  
Riccarton Hospital  
Christchurch 8140

Kia Ora Andrew

## **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The Canterbury District Health Board (“the DHB”) and the Minister of Health (the “Minister”) entered into a Crown Funding Agreement (“the Principal Agreement”) which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule (“Schedule”) herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding Canterbury District Health Board**

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#### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.
- 1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the reduction in routine consultations, with flow on impacts for workforce and business sustainability.

1.4 This variation confirms \$2,560,257.00 excluding GST (“the Funding”) to your DHB to support general practices’ response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

**2. Funding Detail**

2.1 The components of the funding package are summarised below.

**3. Service Description and Requirements**

3.1 During the term of this Schedule, the DHB provides the following services (“the Services”):

3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.

3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to primary health organisations (“PHOs”) being completed the following day. This one-off funding is based on the number of general practice enrolled service users.

3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrolee (“ESU”) - Non-rural practice	\$4.69 per ESU
Funding per enrolee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the Funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.

3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service (“NES”) enrolment information (see Appendix One).

3.1.5.2. PHOs were required to pass on the Funding to general practices.

3.1.5.3. The allocation for each general practice was calculated on an enrolment basis using the NES snapshot enrolment information for 1 April 2020.

**4. The purchase code that applies to this service is as follows:**

PU Code	PU Description	PU Definition	PU Measure
PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service

## 5. Assumptions

### 5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
- 5.1.2. The Funding was intended to contribute to general practice business sustainability resulting from the sudden move to Alert Level Four. It was one-off funding to cover the lockdown period. The funding recognised that general practices had to make sudden changes to their models of care and were experiencing changes in demand, cost and revenue. The Ministry of Health (“the Ministry”) worked with DHBs to address the issues that arose as a result of the Level Four lockdown. This included understanding more about the drivers of the additional costs and some medium to long-term mitigations.
- 5.1.3. Neither DHBs nor PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

## 6. Term

- 6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

## 7. Funding

- 7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry’s obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment to PHOs the day after DHBs received the funding on 8 April 2020.

## 8. Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:

- 8.1. The Ministry provided the cash payment to the DHBs through the Ministry’s non-devolved cash profile process on 8 April 2020.

## 9. Reporting

9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

**10. Variation**

10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

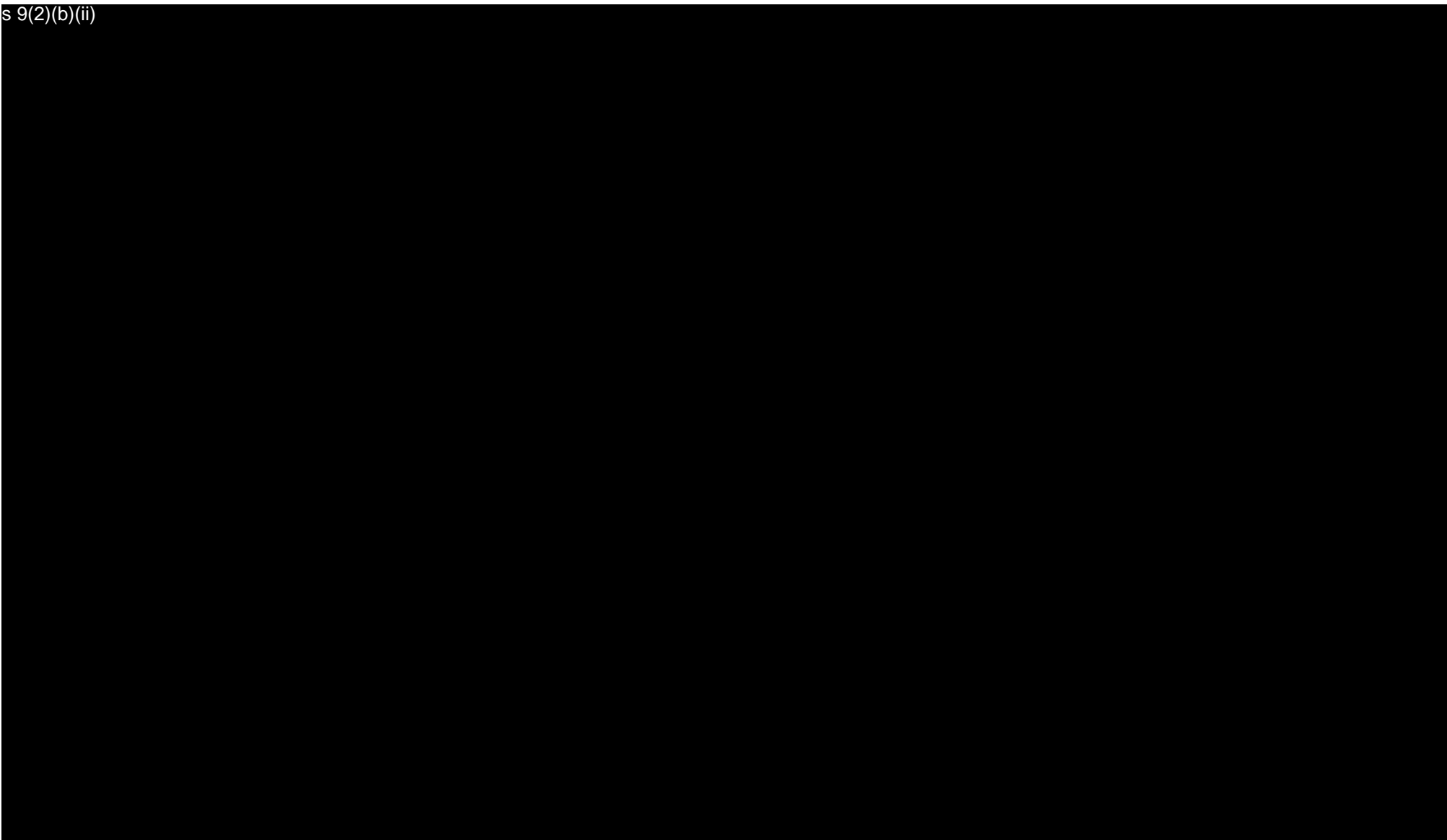
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**Appendix One:**

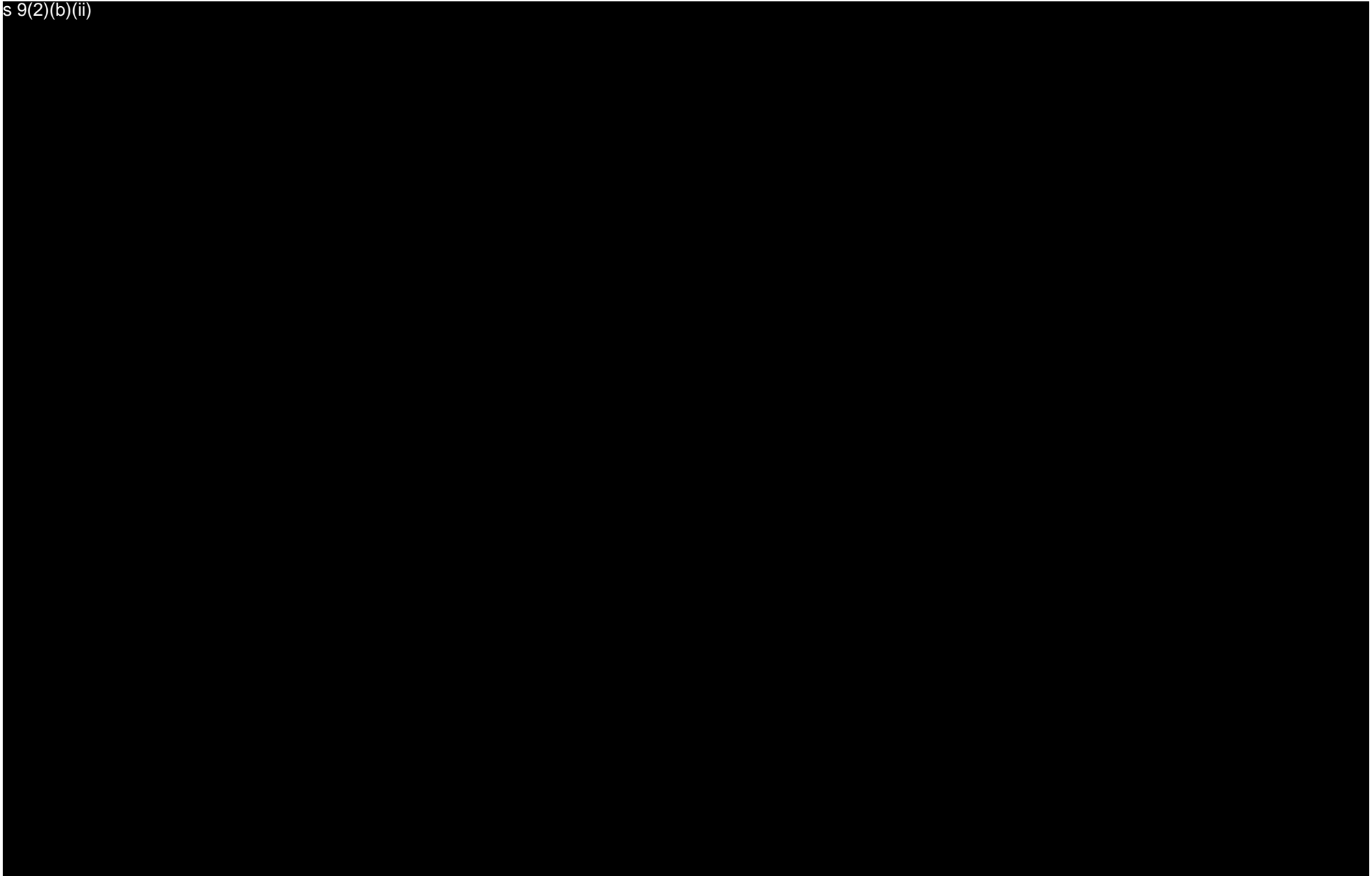
Support for general practice business sustainability - Detailed Funding Allocation

s 9(2)(b)(ii)



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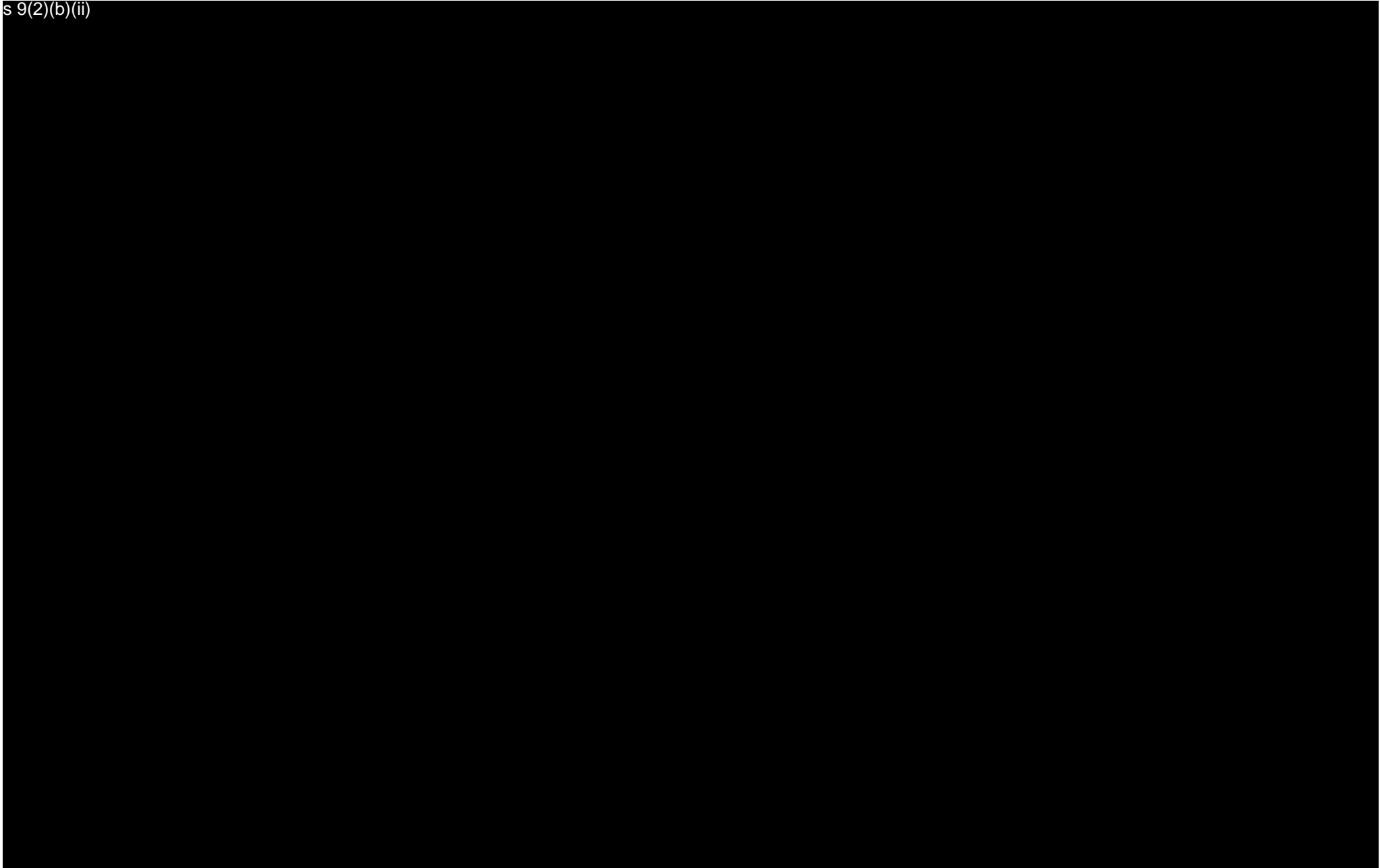
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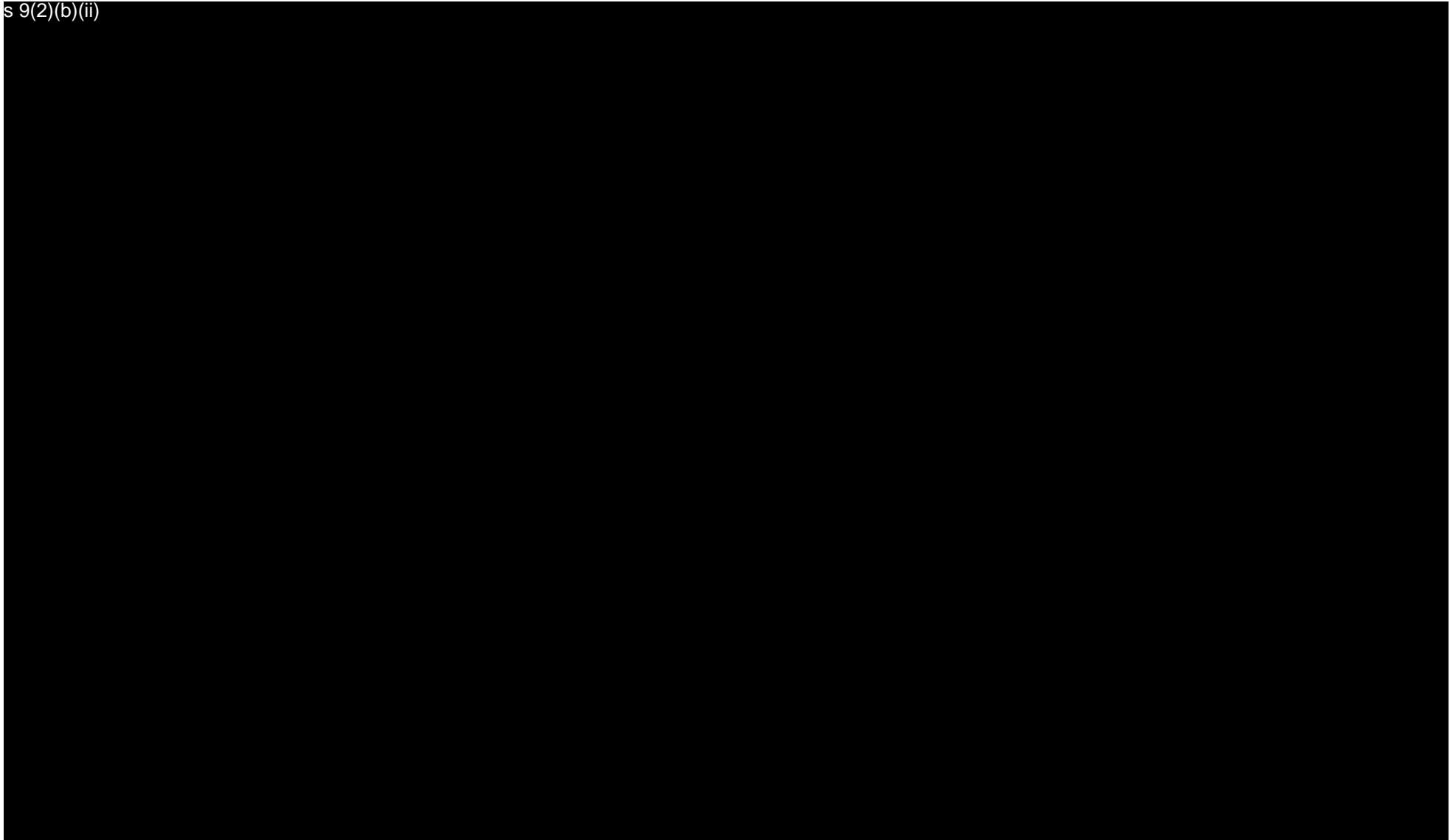


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s 9(2)(b)(ii)



Releas

**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).

\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

**Clare Perry**

\_\_\_\_\_  
**Name**

\_\_\_\_\_  
**Date**

**AND**

**CANTERBURY DISTRICT HEALTH BOARD**

a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000

\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

\_\_\_\_\_  
**Name**

\_\_\_\_\_  
**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.

Fionnagh Dougan  
Chief Executive  
Capital and Coast District Health Board  
Riddiford Street  
Wellington 6021

Kia Ora Fionnagh

## **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The Capital and Coast District Health Board (“the DHB”) and the Minister of Health (the “Minister”) entered into a Crown Funding Agreement (“the Principal Agreement”) which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule (“Schedule”) herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding Capital and Coast District Health Board**

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#### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.
- 1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the reduction in routine consultations, with flow on impacts for workforce and business sustainability.

1.4 This variation confirms \$1,583,930.00 excluding GST (“the Funding”) to your DHB to support general practices’ response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

## 2. Funding Detail

2.1 The components of the funding package are summarised below.

## 3. Service Description and Requirements

3.1 During the term of this Schedule, the DHB provides the following services (“the Services”):

3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.

3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to primary health organisations (“PHOs”) being completed the following day. This one-off funding is based on the number of general practice enrolled service users.

3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrollee (“ESU”) - Non-rural practice	\$4.69 per ESU
Funding per enrollee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the Funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.

3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service (“NES”) enrolment information (see Appendix One).

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4. The purchase code that applies to this service is as follows:

PU Code	PU Description	PU Definition	PU Measure
PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service

## 5. Assumptions

5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
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- 5.1.3. Neither DHBs nor PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

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6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

## 7. Funding

7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry’s obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment to PHOs the day after DHBs received the funding on 8 April 2020.

## 8. Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:

8.1. The Ministry provided the cash payment to the DHBs through the Ministry’s non-devolved cash profile process on 8 April 2020.

## 9. Reporting



9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

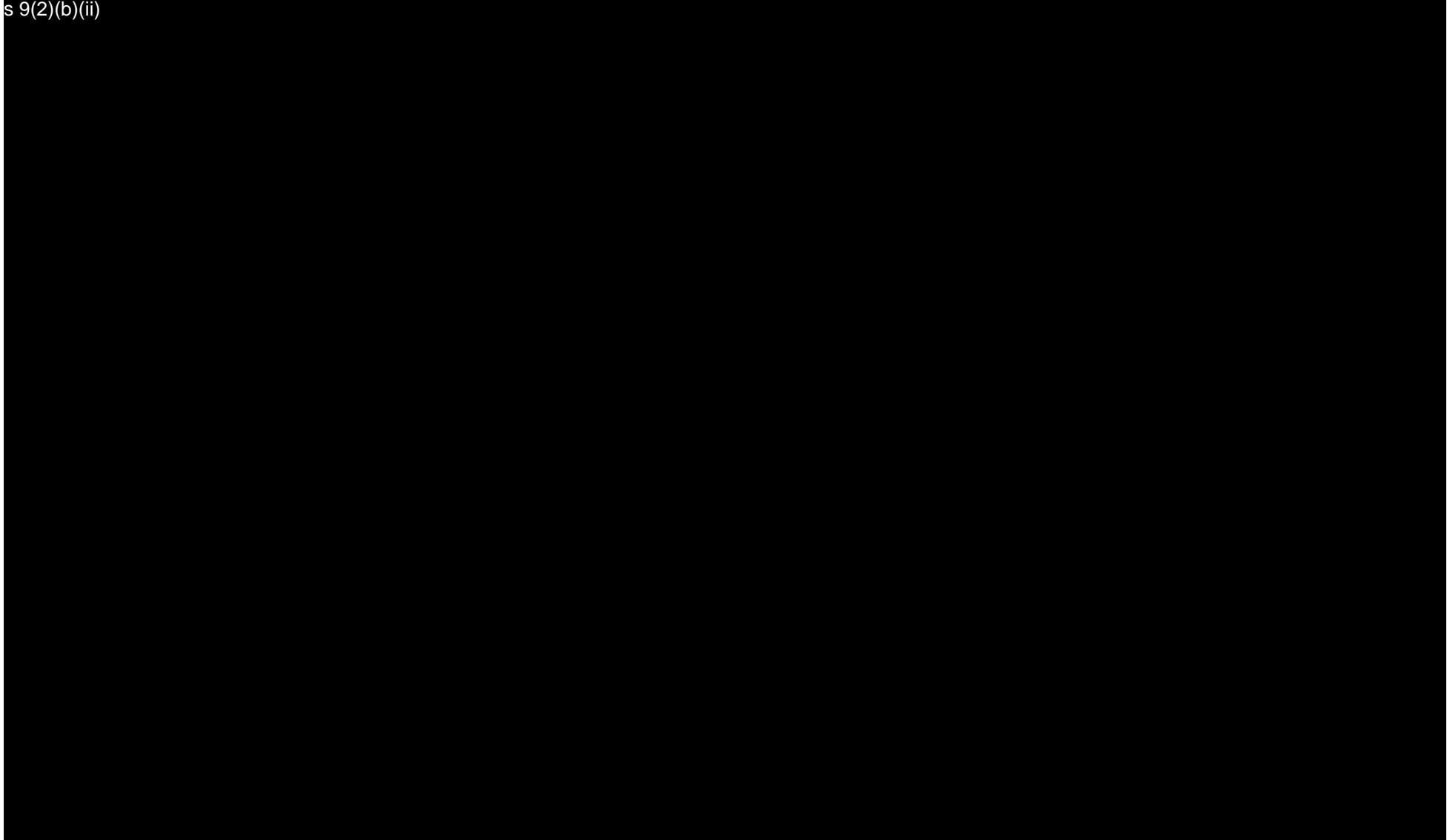
**10. Variation**

10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

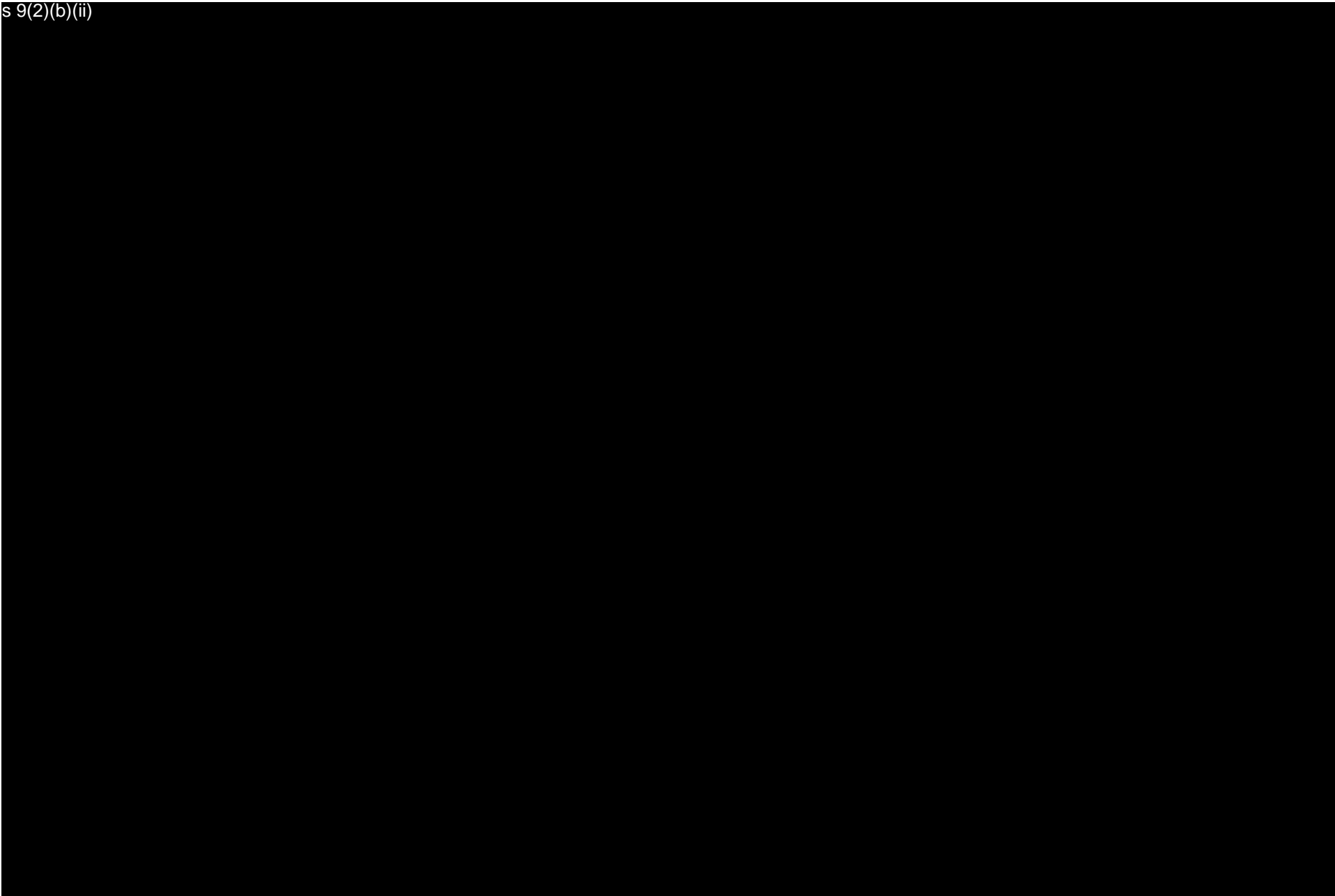
**Appendix One:**

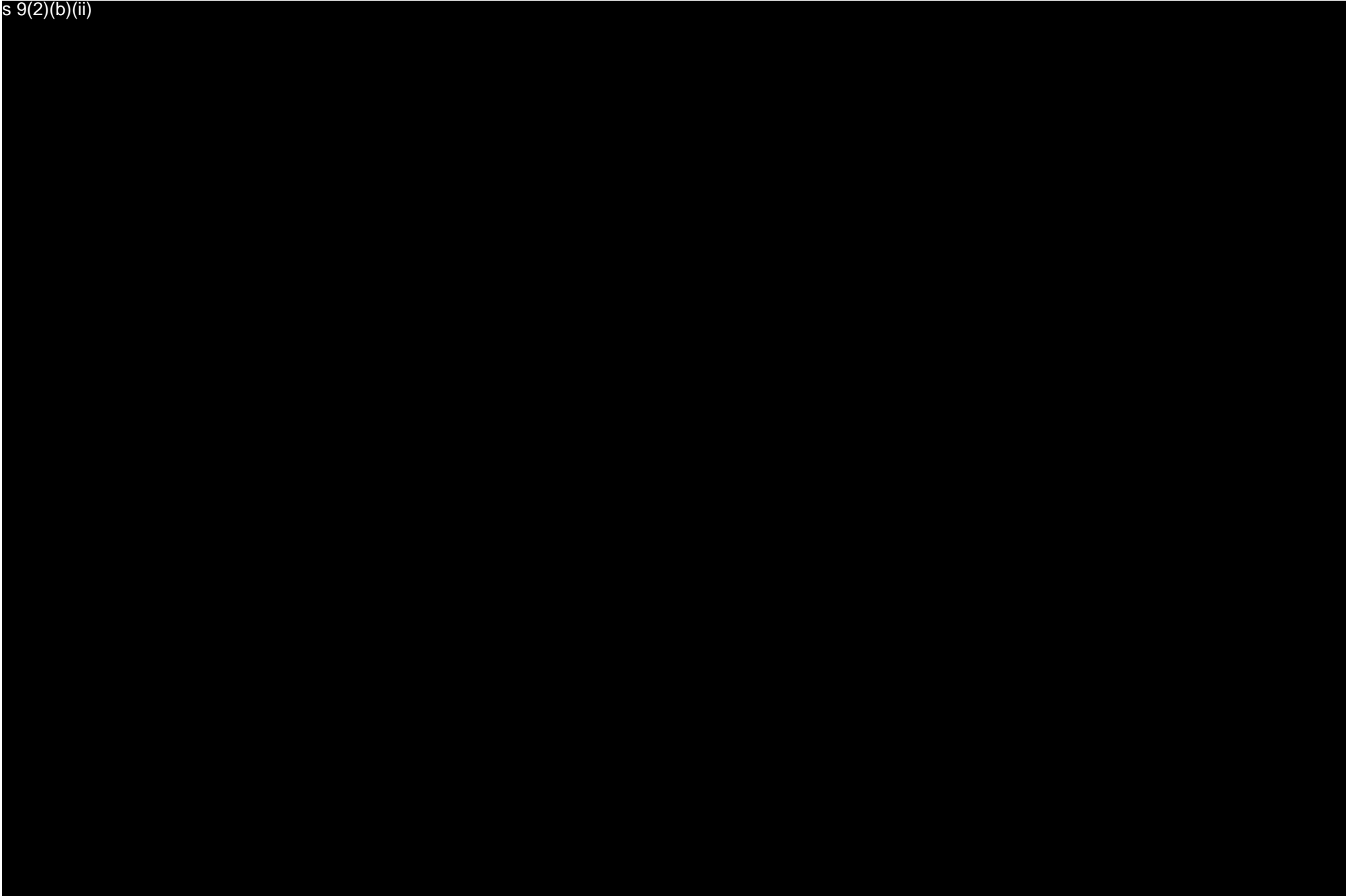
Support for general practice business sustainability - Detailed Funding Allocation

s 9(2)(b)(i)



s 9(2)(b)(ii)





**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).

\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

**Clare Perry**

\_\_\_\_\_  
**Name**

\_\_\_\_\_  
**Date**

**AND**

**CAPITAL AND COAST DISTRICT HEALTH BOARD**

a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000

\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

\_\_\_\_\_  
**Name**

\_\_\_\_\_  
**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.

21 October 2020

Fepulea'i Margie Apa  
Chief Executive  
Counties Manukau District Health Board  
South Auckland Mail Centre  
Manukau 2240

Kia Ora Margie

### **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The Counties Manukau District Health Board (“the DHB”) and the Minister of Health (the “Minister”) entered into a Crown Funding Agreement (“the Principal Agreement”) which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule (“Schedule”) herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

#### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding Counties Manukau District Health Board**

---

### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.
- 1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the

reduction in routine consultations, with flow on impacts for workforce and business sustainability.

- 1.4 This variation confirms \$2,512,794.00 excluding GST (“the Funding”) to your DHB to support general practices’ response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

## 2. Funding Detail

- 2.1 The components of the funding package are summarised below.

## 3. Service Description and Requirements

- 3.1 During the term of this Schedule, the DHB provides the following services (“the Services”):
- 3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.
- 3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to primary health organisations (“PHOs”) being completed the following day. This one-off funding is based on the number of general practice enrolled service users.
- 3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrollee (“ESU”) - Non-rural practice	\$4.69 per ESU
Funding per enrollee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

- 3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the Funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.
- 3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service (“NES”) enrolment information (see Appendix One).
- 3.1.5.2. PHOs were required to pass on the Funding to general practices.
- 3.1.5.3. The allocation for each general practice was calculated on an enrolment basis using the NES snapshot enrolment information for 1 April 2020.

4. The purchase code that applies to this service is as follows:

<b>PU Code</b>	<b>PU Description</b>	<b>PU Definition</b>	<b>PU Measure</b>
PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service

## 5. Assumptions

5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
- 5.1.2. The Funding was intended to contribute to general practice business sustainability resulting from the sudden move to Alert Level Four. It was one-off funding to cover the lockdown period. The funding recognised that general practices had to make sudden changes to their models of care and were experiencing changes in demand, cost and revenue. The Ministry of Health (“the Ministry”) worked with DHBs to address the issues that arose as a result of the Level Four lockdown. This included understanding more about the drivers of the additional costs and some medium to long-term mitigations.
- 5.1.3. Neither DHBs nor PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

## 6. Term

6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

## 7. Funding

7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry’s obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment to PHOs the day after DHBs received the funding on 8 April 2020.

## 8. Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:

8.1. The Ministry provided the cash payment to the DHBs through the Ministry’s non-devolved cash profile process on 8 April 2020.



## **9. Reporting**

- 9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

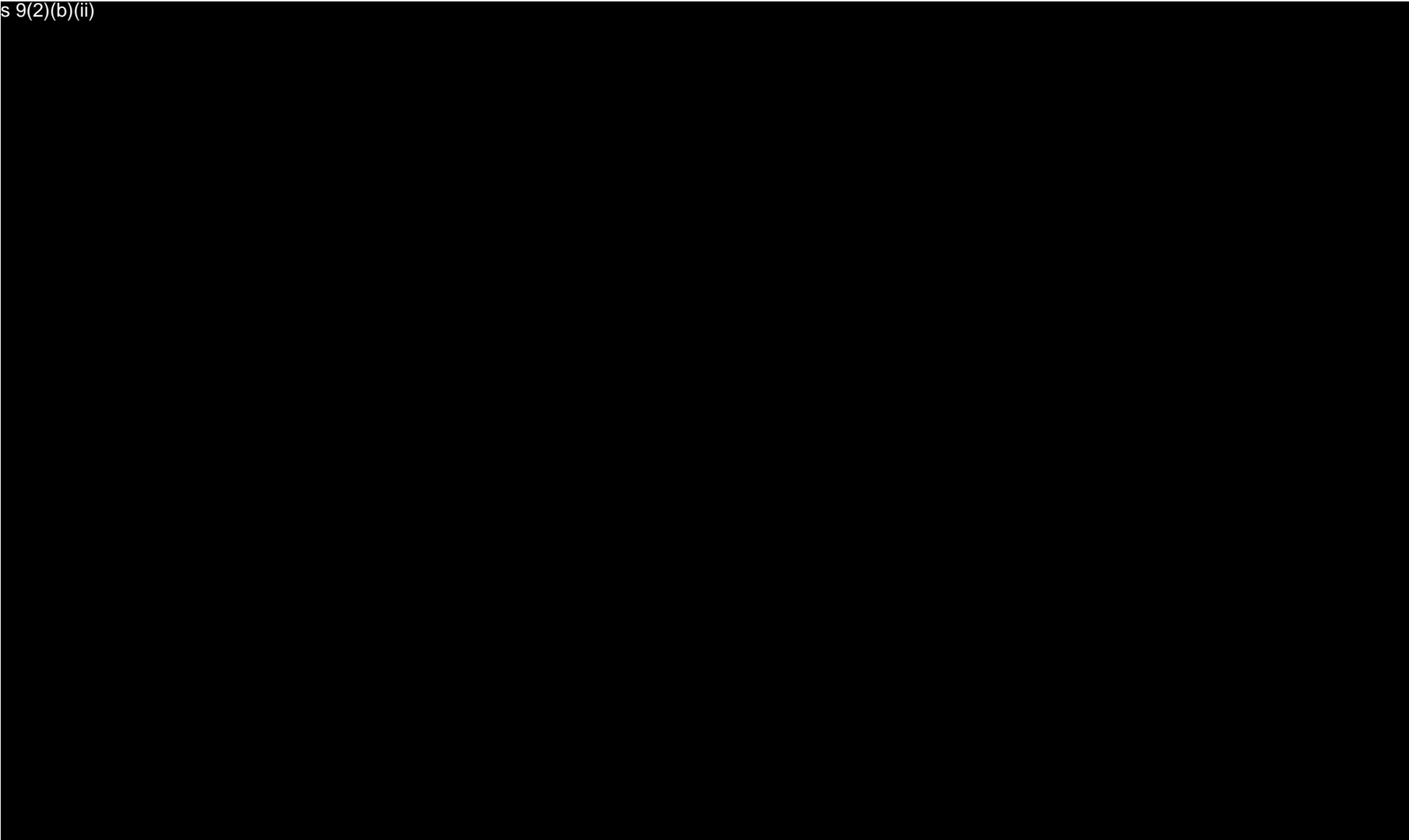
## **10. Variation**

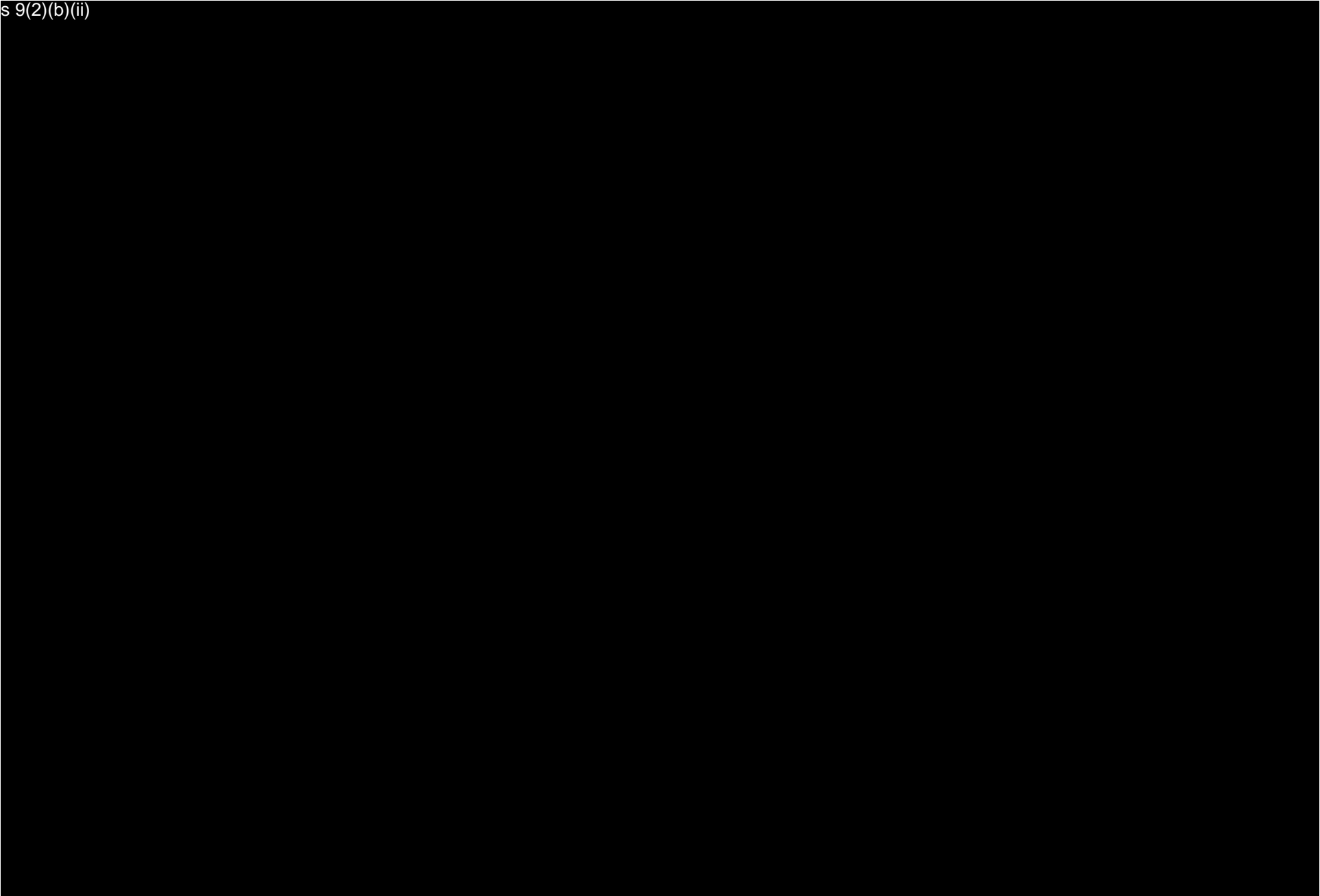
- 10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

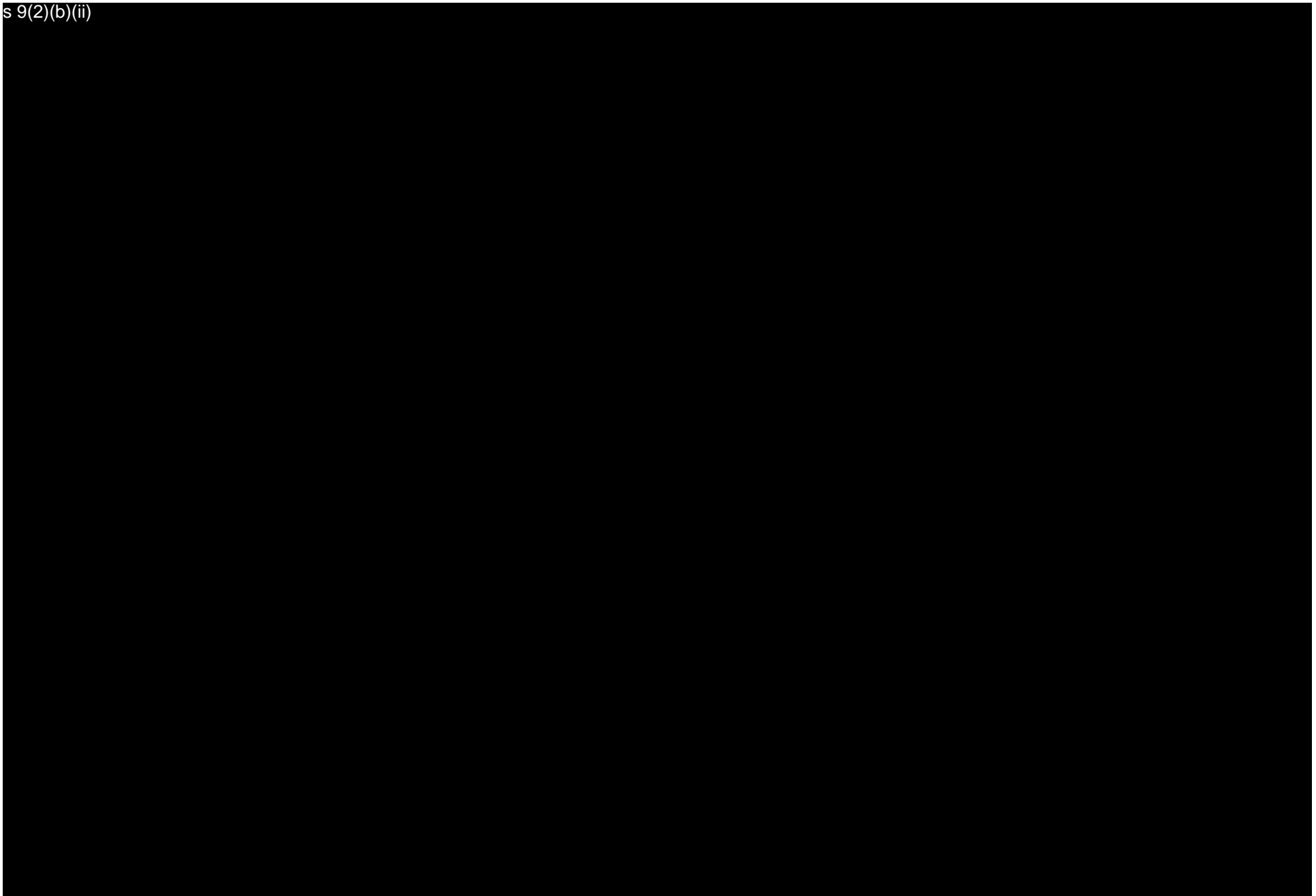
**Appendix One:**

Support for general practice business sustainability - Detailed Funding Allocation

s 9(2)(b)(ii)



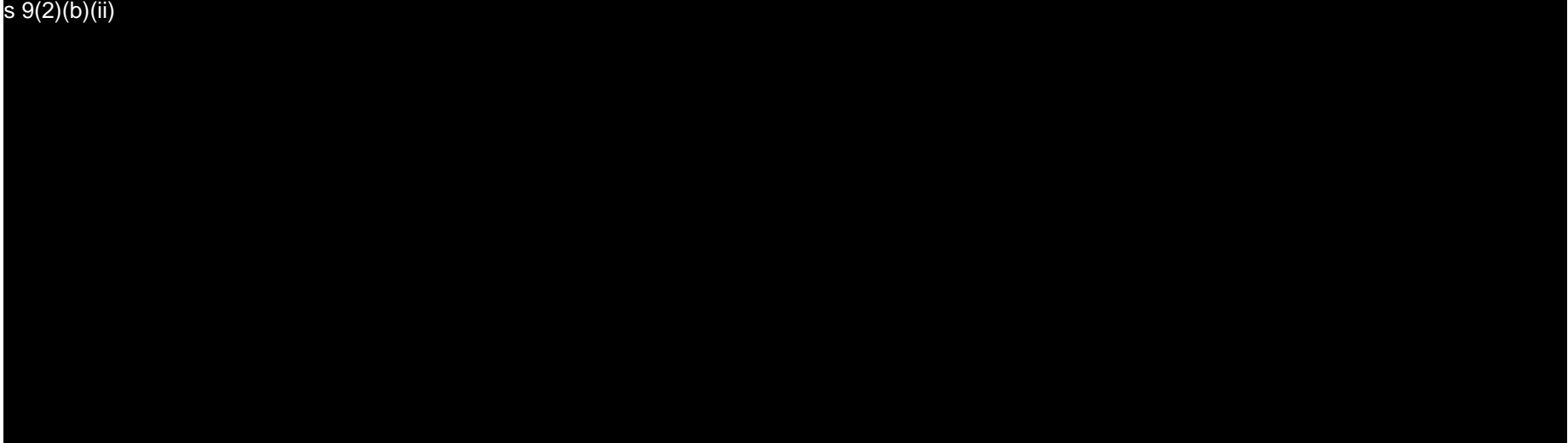




s 9(2)(b)(ii)



s 9(2)(b)(ii)



**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).



---

**Signature**  
(Authorised Signatory)

Jess Smaling

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**Name**

28 January 2021

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**Date**

**AND**

**COUNTIES MANUKAU DISTRICT HEALTH BOARD**

a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000



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**Signature**  
(Authorised Signatory)

Margaret White

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**Name**

21 January 2021

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**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.



Keriana Brooking  
Chief Executive  
Hawke's Bay District Health Board  
Private Bag 9014  
Hastings 4156

Kia Ora Keriana

## **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The Hawke's Bay District Health Board ("the DHB") and the Minister of Health (the "Minister") entered into a Crown Funding Agreement ("the Principal Agreement") which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule ("Schedule") herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding Hawke's Bay District Health Board**

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#### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.
- 1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the reduction in routine consultations, with flow on impacts for workforce and business sustainability.

1.4 This variation confirms \$776,760.00 excluding GST (the Funding) to your DHB to support general practices' response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

## 2. Funding Detail

2.1 The components of the funding package are summarised below.

## 3. Service Description and Requirements

3.1 During the term of this Schedule, the DHB provides the following services ("the Services"):

3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.

3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to primary health organisations ("PHOs") being completed the following day. This one-off funding is based on the number of general practice enrolled service users.

3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrollee ("ESU") - Non-rural practice	\$4.69 per ESU
Funding per enrollee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the Funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.

3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service ("NES") enrolment information (see Appendix One).

3.1.5.2. PHOs were required to pass on the Funding to general practices.

3.1.5.3. The allocation for each general practice was calculated on an enrolment basis using the NES snapshot enrolment information for 1 April 2020.

4. The purchase code that applies to this service is as follows:

PU Code	PU Description	PU Definition	PU Measure
PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service

## 5. Assumptions

5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
- 5.1.2. The Funding was intended to contribute to general practice business sustainability resulting from the sudden move to Alert Level Four. It was one-off funding to cover the lockdown period. The funding recognised that general practices had to make sudden changes to their models of care and were experiencing changes in demand, cost and revenue. The Ministry of Health (“the Ministry”) worked with DHBs to address the issues that arose as a result of the Level Four lockdown. This included understanding more about the drivers of the additional costs and some medium to long-term mitigations.
- 5.1.3. Neither DHBs nor PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

## 6. Term

6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

## 7. Funding

7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry’s obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment to PHOs the day after DHBs received the funding on 8 April 2020.

## 8. Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:

8.1. The Ministry provided the cash payment to the DHBs through the Ministry’s non-devolved cash profile process on 8 April 2020.

## 9. Reporting

9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

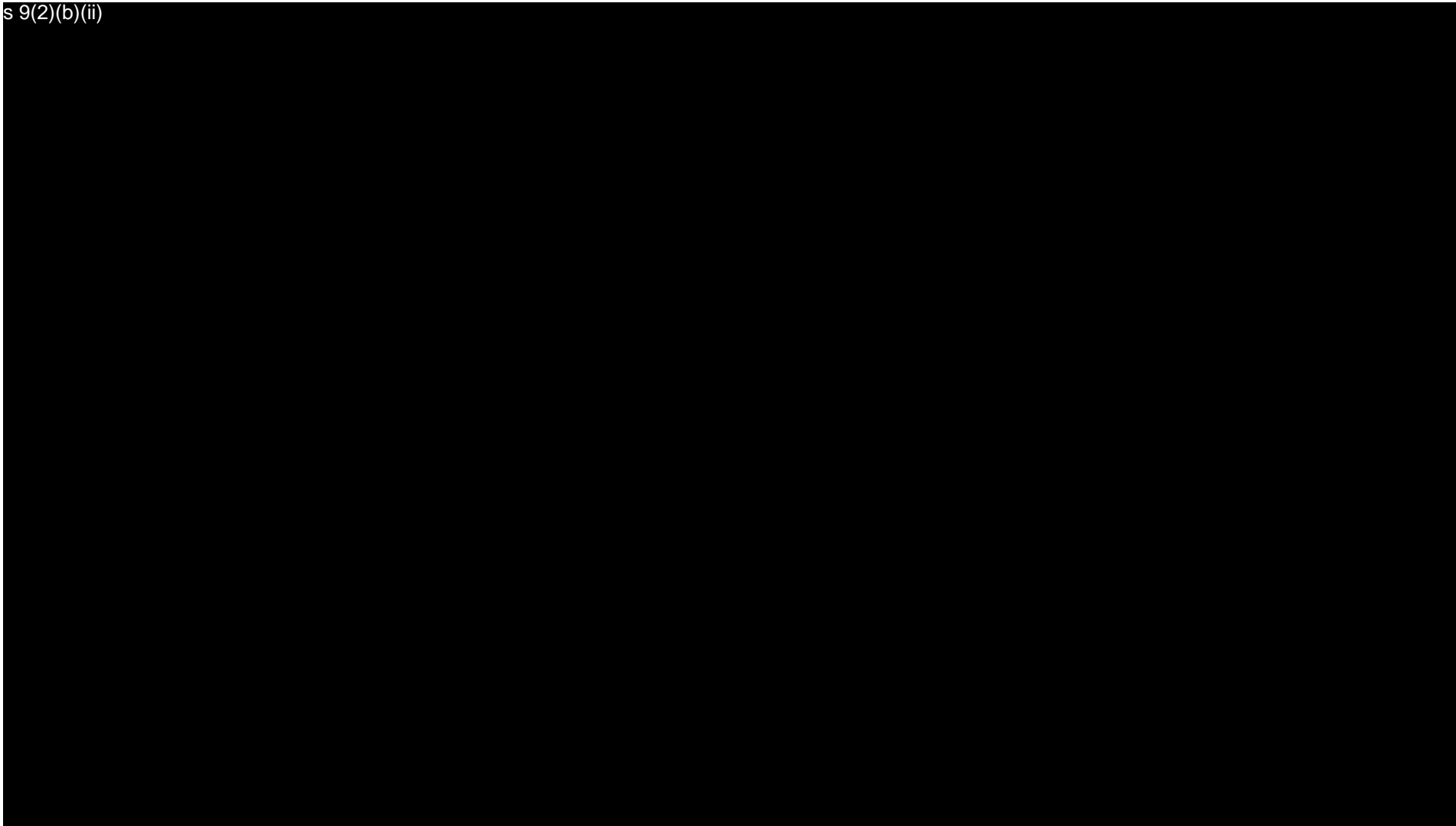
**10. Variation**

10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

**Appendix One:**

Support for general practice business sustainability - Detailed Funding Allocation

s 9(2)(b)(ii)



**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).

\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

**Clare Perry**

\_\_\_\_\_  
**Name**

\_\_\_\_\_  
**Date**

**AND**

**HAWKE'S BAY DISTRICT HEALTH BOARD**

a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000

\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

\_\_\_\_\_  
**Name**

\_\_\_\_\_  
**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.

10 November 2020

Fionnagh Dougan  
Chief Executive  
Hutt Valley District Health Board  
638 High Street  
Lower Hutt 5010

Kia Ora Fionnagh

### **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The Hutt Valley District Health Board (“the DHB”) and the Minister of Health (the “Minister”) entered into a Crown Funding Agreement (“the Principal Agreement”) which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule (“Schedule”) herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

#### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding Hutt Valley District Health Board**

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### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.
- 1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the reduction in routine consultations, with flow on impacts for workforce and business sustainability.



1.4 This variation confirms \$581,143.00 excluding GST (“the Funding”) to your DHB to support general practices’ response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

**2. Funding Detail**

2.1 The components of the funding package are summarised below.

**3. Service Description and Requirements**

3.1 During the term of this Schedule, the DHB provides the following services (“the Services”):

3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.

3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to primary health organisations (“PHOs”) being completed the following day. This one-off funding is based on the number of general practice enrolled service users.

3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrollee (“ESU”) - Non-rural practice	\$4.69 per ESU
Funding per enrollee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the Funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.

3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service (“NES”) enrolment information (see Appendix One).

3.1.5.2. PHOs were required to pass on the Funding to general practices.

3.1.5.3. The allocation for each general practice was calculated on an enrolment basis using the NES snapshot enrolment information for 1 April 2020.

**4. The purchase code that applies to this service is as follows:**

PU Code	PU Description	PU Definition	PU Measure
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PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service
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## 5. Assumptions

5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
- 5.1.2. The Funding was intended to contribute to general practice business sustainability resulting from the sudden move to Alert Level Four. It was one-off funding to cover the lockdown period. The funding recognised that general practices had to make sudden changes to their models of care and were experiencing changes in demand, cost and revenue. The Ministry of Health (“the Ministry”) worked with DHBs to address the issues that arose as a result of the Level Four lockdown. This included understanding more about the drivers of the additional costs and some medium to long-term mitigations.
- 5.1.3. Neither DHBs nor PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

## 6. Term

6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

## 7. Funding

7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry’s obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment to PHOs the day after DHBs received the funding on 8 April 2020.

## 8. Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:

8.1. The Ministry provided the cash payment to the DHBs through the Ministry’s non-devolved cash profile process on 8 April 2020.

## 9. Reporting

9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

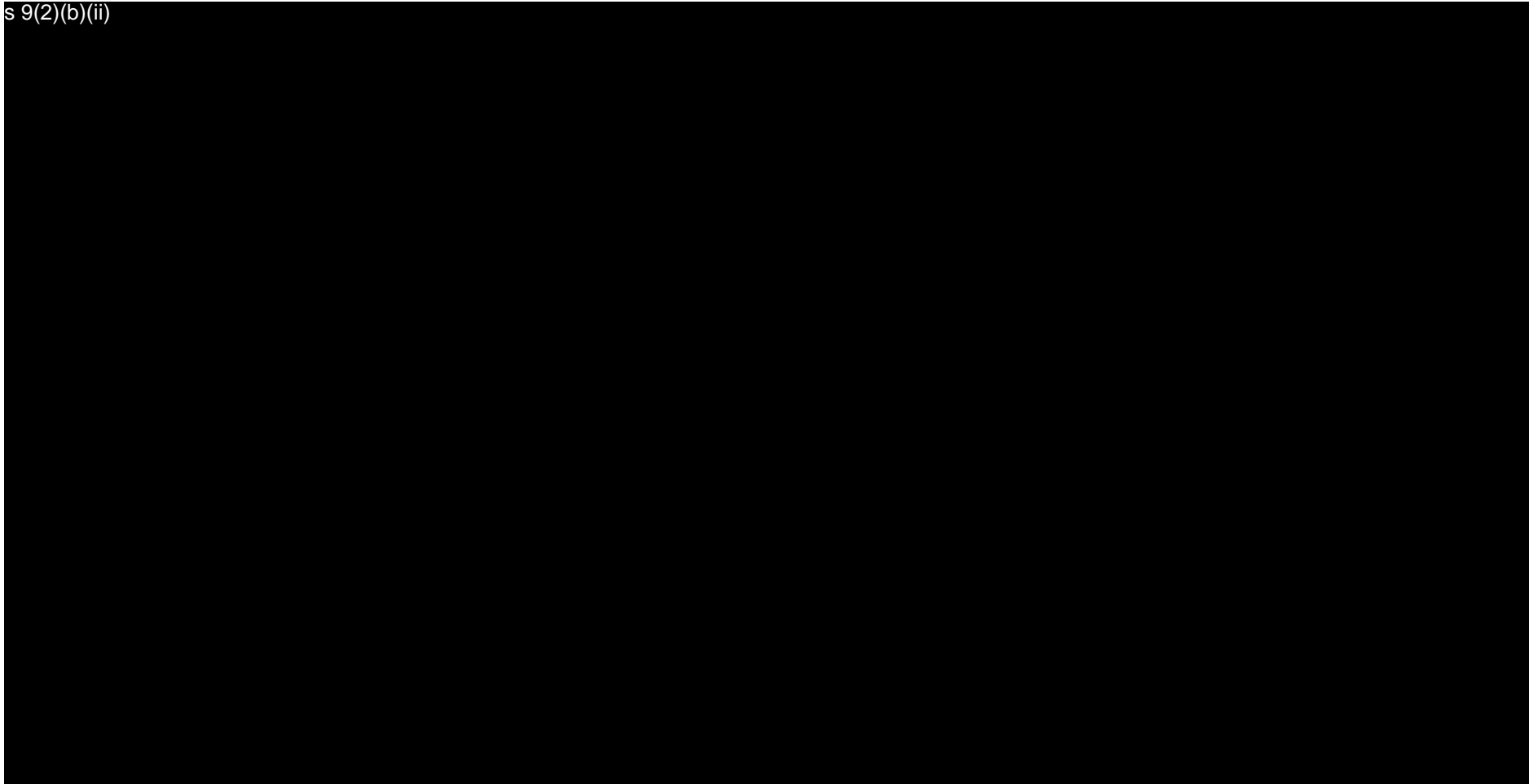
## **10. Variation**

- 10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

**Appendix One:**

Support for general practice business sustainability - Detailed Funding Allocation

s 9(2)(b)(ii)



**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

\_\_\_\_\_  
Jess Smaling  
**Name**

\_\_\_\_\_  
11 November 2020  
**Date**

**AND**

**HUTT VALLEY DISTRICT HEALTH BOARD**  
a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

\_\_\_\_\_  
Fionnagh Dougan  
**Name**

\_\_\_\_\_  
10 November 2020  
**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.

21 October 2020

Nick Saville-Wood  
Chief Executive  
Lakes District Health Board  
Rotorua Hospital  
Arawa Street  
Rotorua 3010

Kia Ora Nick

### **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The Lakes District Health Board ("the DHB") and the Minister of Health (the "Minister") entered into a Crown Funding Agreement ("the Principal Agreement") which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule ("Schedule") herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

#### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding Lakes District Health Board**

---

### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.
- 1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the

reduction in routine consultations, with flow on impacts for workforce and business sustainability.

- 1.4 This variation confirms \$536,721.00 excluding GST (“the Funding”) to your DHB to support general practices’ response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

**2. Funding Detail**

- 2.1 The components of the funding package are summarised below.

**3. Service Description and Requirements**

- 3.1 During the term of this Schedule, the DHB provides the following services (“the Services”):

- 3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.

- 3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to primary health organisations (“PHOs”) being completed the following day. This one-off funding is based on the number of general practice enrolled service users.

- 3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrolee (ESU) - Non-rural practice	\$4.69 per ESU
Funding per enrolee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

- 3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the Funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.

- 3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service (“NES”) enrolment information (see Appendix One).

- 3.1.5.2. PHOs were required to pass on the Funding to general practices.

- 3.1.5.3. The allocation for each general practice was calculated on an enrolment basis using the NES snapshot enrolment information for 1 April 2020.

4. The purchase code that applies to this service is as follows:

PU Code	PU Description	PU Definition	PU Measure
PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service

5. Assumptions

5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
- 5.1.2. The Funding was intended to contribute to general practice business sustainability resulting from the sudden move to Alert Level Four. It was one-off funding to cover the lockdown period. The funding recognised that general practices had to make sudden changes to their models of care and were experiencing changes in demand, cost and revenue. The Ministry of Health ("the Ministry") worked with DHBs to address the issues that arose as a result of the Level Four lockdown. This included understanding more about the drivers of the additional costs and some medium to long-term mitigations.
- 5.1.3. Neither DHBs nor PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

6. Term

- 6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

7. Funding

- 7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry's obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment to PHOs the day after DHBs received the funding on 8 April 2020.

8. Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:

- 8.1. The Ministry provided the cash payment to the DHBs through the Ministry's non-devolved cash profile process on 8 April 2020.

**9. Reporting**

- 9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

**10. Variation**

- 10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

Released under the Official Information Act 1982




s 9(2)(b)(ii)

**Appendix One:**  
Support for general practice business sustainability - Detailed Funding Allocation  
**Primary Care Response Funding by General Practice**



s 9(2)(b)(ii)



der the Official Information Act 1982

**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

Jess Smaling

\_\_\_\_\_  
**Name**

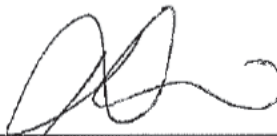
29 October 2020

\_\_\_\_\_  
**Date**

**AND**

**LAKES DISTRICT HEALTH BOARD**

a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

Karen Evison  
Director

Strategy, Planning and Funding

\_\_\_\_\_  
**Name**

27/10/2020

\_\_\_\_\_  
**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.

27 October 2020

Kathryn Cook  
Chief Executive  
MidCentral District Health Board  
50 Ruahine Street  
Roslyn  
Palmerston North 4442

Kia Ora Kathryn

#### **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The MidCentral District Health Board (DHB) and the Minister of Health (the Minister) entered into a Crown Funding Agreement (the Principal Agreement) which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

#### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding MidCentral District Health Board**

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#### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.
- 1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the

reduction in routine consultations, with flow on impacts for workforce and business sustainability.

- 1.4 This variation confirms \$779,303 excluding GST (the Funding) to your DHB to support general practices' response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

**2. Funding Detail**

- 2.1 The components of the funding package are summarised below.

**3. Service Description and Requirements**

- 3.1 During the term of this Schedule, the DHB provides the following services (the Services):

- 3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.
- 3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to PHOs being completed the following day. This one-off funding is based on the number of general practice enrolled service users.
- 3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrollee (ESU) - Non-rural practice	\$4.69 per ESU
Funding per enrollee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

- 3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.

- 3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service (NES) enrolment information (see Appendix One).
- 3.1.5.2. PHOs were required to pass on the Funding to general practices.
- 3.1.5.3. The allocation for each general practice was calculated on an enrolment basis using the NES snapshot enrolment information for 1 April 2020.



4. The purchase code that applies to this service are as follows:

PU Code	PU Description	PU Definition	PU Measure
PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service

5. Assumptions

5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
- 5.1.2. The Funding was intended to contribute to general practice business sustainability resulting from the sudden move to Alert Level Four. It was one-off funding to cover the lockdown period. The funding recognised that general practices had to make sudden changes to their models of care and were experiencing changes in demand, cost and revenue. The Ministry of Health (“the Ministry”) worked with DHBs to address the issues that arose as a result of the Level Four lockdown. This included understanding more about the drivers of the additional costs and some medium to long-term mitigations.
- 5.1.3. Neither DHBs or PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

6. Term

- 6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

7. Funding

- 7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry’s obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment PHOs the day after DHBs received the funding on 8 April 2020.

8. Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:

- 8.1. The Ministry provided the cash payment to the DHBs through the Ministry’s non-devolved cash profile process on 8 April 2020.

**9. Reporting**

9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

**10. Variation**

10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

Released under the Official Information Act 1982

**Appendix One:**

Support for general practice business sustainability - Detailed Funding Allocation

s 9(2)(b)(ii)



Released

82



s 9(2)(b)(ii)

under the Official Information Act 1982

**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

Jess Smaling

\_\_\_\_\_  
**Name**

26 November 2020

\_\_\_\_\_  
**Date**

**AND**

**MIDCENTRAL DISTRICT HEALTH BOARD**

a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

Tracee Tetu

\_\_\_\_\_  
**Name**

18/11/2020

\_\_\_\_\_  
**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.

21 October 2020

Peter Bramley  
Nelson Marlborough DHB  
Wairau Hospital  
PO Box 46  
Blenheim 7240

Kia Ora Peter

### **VARIATION BY WAY OF LETTER to the 2012/13 Crown Funding Agreement**

The Nelson Marlborough District Health Board (“the DHB”) and the Minister of Health (the “Minister”) entered into a Crown Funding Agreement (“the Principal Agreement”) which commenced on 1 October 2012.

This letter documents and retrospectively records the variation entered into by the Parties on 8 April 2020 and pursuant to clause A.6.4 of the Principal Agreement. The Parties accept and acknowledge the schedule (“Schedule”) herein accurately and retrospectively records their understanding as of 8 April 2020. For the avoidance of doubt, all payments in this letter have already been paid to the DHB and Ministry of Health shall not be obligated to make any further or duplicate payments.

#### **Schedule H12: COVID-19 Primary Care Support – General Practice Sustainability Funding Nelson Marlborough District Health Board**

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### **1. Background**

- 1.1 On 17 March 2020 the Government announced a new \$500 million funding package to support the health sector to respond to COVID-19. A \$70 million support package has already been paid to primary and community pharmacy care.
- 1.2 This variation relates to a funding package of \$22.42 million paid to DHBs on 8 April 2020 to help support general practice business sustainability resulting from the move to Alert Level Four for COVID-19. It includes a weighting for rural general practices to recognise the additional challenges of providing primary care services during COVID-19 Alert Level Four in rural communities.
- 1.3 This support package helped address financial impacts on general practices resulting from reduced and delayed patient co-payments, the sudden shift to virtual services and the

reduction in routine consultations, with flow on impacts for workforce and business sustainability.

- 1.4 This variation confirms \$718,515.00 excluding GST (“the Funding”) to your DHB to support general practices’ response to COVID-19 as described at clause 3 below. This was for use by 30 June 2020.

## 2. Funding Detail

- 2.1 The components of the funding package are summarised below.

## 3. Service Description and Requirements

- 3.1 During the term of this Schedule, the DHB provides the following services (“the Services”):

3.1.2. The Funding was provided to your DHB for immediate distribution to support general practice business sustainability during the response to COVID-19. This includes ensuring the proactive management of at-risk patients within their enrolled general practice.

3.1.3. The Funding was paid out in full to DHBs on 8 April 2020 with the corresponding payment to primary health organisations (“PHOs”) being completed the following day. This one-off funding is based on the number of general practice enrolled service users.

3.1.4. The distribution of the Funding is weighted for rural general practice and was paid as outlined in the table below (see Appendix One for detailed funding).

Funding allocation	Payments
Funding per enrollee (“ESU”) - Non-rural practice	\$4.69 per ESU
Funding per enrollee – Rural practice	\$4.92 per ESU
Total funding provided to DHBs for distribution via PHOs	\$22.42 million

3.1.5. The DHB was obligated to require PHOs to pass on 100 percent of the Funding specified in this Schedule to the general practices affiliated with the PHO(s) in the district.

3.1.5.1. The allocation for each PHO was calculated and provided for each general practice affiliated with the PHO using the National Enrolment Service (“NES”) enrolment information (see Appendix One).

3.1.5.2. PHOs were required to pass on the Funding to general practices.

3.1.5.3. The allocation for each general practice was calculated on an enrolment basis using the NES snapshot enrolment information for 1 April 2020.



4. **The purchase code that applies to this service is as follows:**

PU Code	PU Description	PU Definition	PU Measure
PHO19-03	COVID-19 business sustainability general practice	One-off funding to support general practice business sustainability impacted by the Covid-19 response	Service

5. **Assumptions**

5.1. The following assumptions were made:

- 5.1.1. The Funding was expected to flow directly and quickly to general practices via PHOs.
- 5.1.2. The Funding was intended to contribute to general practice business sustainability resulting from the sudden move to Alert Level Four. It was one-off funding to cover the lockdown period. The funding recognised that general practices had to make sudden changes to their models of care and were experiencing changes in demand, cost and revenue. The Ministry of Health (“the Ministry”) worked with DHBs to address the issues that arose as a result of the Level Four lockdown. This included understanding more about the drivers of the additional costs and some medium to long-term mitigations.
- 5.1.3. Neither DHBs nor PHOs were permitted to use any of the Funding other than for the general practice support components.
- 5.1.4. DHBs were expected to work with PHOs to keep account of how the funding was utilised locally to support general practice sustainability. This information was to assist the Ministry to assess any future requests for business sustainability funding.

6. **Term**

- 6.1. This Schedule commenced on 8 April 2020 and, unless terminated earlier in accordance with the Principal Agreement, expired on 30 June 2020.

7. **Funding**

- 7.1. The DHB used the Funding for the purposes of performing the Services. For the avoidance of doubt, the Funding paid to the DHB on 8 April 2020 completely satisfies the Ministry’s obligation to provide the Funding in accordance with the Services delivered in this Schedule, and the Ministry is not liable to make any further or duplicate payments. Sector Operations arranged the corresponding payment to PHOs the day after DHBs received the funding on 8 April 2020.

8. **Process below for payment by invoice, payment on performance, and payment via Schedule B, respectively:**

- 8.1. The Ministry provided the cash payment to the DHBs through the Ministry’s non-devolved cash profile process on 8 April 2020.

**9. Reporting**

9.1. Further reporting on non-financial activity (volumes, approach etc) may be requested by the Ministry in the future (if required) to further inform planning and support of DHBs.

**10. Variation**

10.1. Any proposed variation in the Services to be provided by the DHB under this Schedule must be discussed and agreed in writing by the Ministry and the DHB.

Released under the Official Information Act 1982

s 9(2)(b)(ii)

**Appendix One:**

Support for general practice business sustainability - Detailed Funding Allocation

Released

s 9(2)(b)(ii)

Released under the Official Informa



**HER MAJESTY THE QUEEN  
IN RIGHT OF HER GOVERNMENT  
IN NEW ZEALAND**

acting by and through the Deputy Director General,  
DHB Performance, Support and Infrastructure,  
Ministry of Health (Ministry).



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

**Jess Smaling**

\_\_\_\_\_  
**Name**

**23 October 2020**

\_\_\_\_\_  
**Date**

**AND**

**NELSON MARLBOROUGH DISTRICT HEALTH BOARD**

a District Health Board established under section  
19 of the New Zealand Public Health and  
Disability Act 2000



\_\_\_\_\_  
**Signature**  
(Authorised Signatory)

**ERIC SINCLAIR (Acting CEO)**

\_\_\_\_\_  
**Name**

**21/10/2020**

\_\_\_\_\_  
**Date**

Made under section 10 of the New Zealand Public Health and Disability Act 2000.